

HOBBY AREA  
MANAGEMENT DISTRICT



HOBBY AREA DISTRICT

Agenda and Agenda Materials  
Meeting of the Board of Directors

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February 18, 2016



# HOBBY AREA DISTRICT

## HARRIS COUNTY IMPROVEMENT DISTRICT NUMBER NINE (HOBBY AREA MANAGEMENT DISTRICT MEETING)

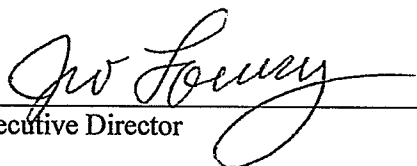
**TO: THE BOARD OF DIRECTORS OF THE HOBBY AREA MANAGEMENT DISTRICT  
AND TO ALL OTHER INTERESTED PERSONS:**

Notice is hereby given that a regular meeting of the Board of Directors of the Hobby Area Management District will be held on Thursday, February 18, 2016, at 2:00 PM in the Doubletree Hilton Hobby - 8181 Airport Blvd, Houston, TX 77061, inside the boundaries of the District, open to the public, to consider, discuss and adopt such orders, resolutions or motions, and take other direct or indirect actions as may be necessary, convenient, or desirable with respect to the following matters:

### AGENDA

1. Determine quorum; call to order.
2. Receive public comments.
3. Approve minutes of meeting held January 14, 2016.
4. Receive Assessments Collection Report.
5. Receive Bookkeeper's Report and approve invoices for payment.
6. Approve Resolution regarding Annual Review of Investment Policy and List of Qualified Broker/Dealers.
7. Accept bookkeeper/investment officer's annual disclosure under the Public Funds Investment Act.
8. Receive report on House Bill 1295 implementation.
9. Receive update from Environment, Urban Design and Mobility Committee.
  - a. Consider recommendations from Committee for approval of 12 locations for the District Mini Mural project.
10. Receive update from Business & Economic Development Committee.
11. Receive update from Public Safety Committee.
12. Receive Executive Director's Report.
13. Adjourn.



  
Executive Director

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Persons with disabilities who plan to attend this meeting and would like to request auxiliary aids or services are requested to contact the District's Executive Director at (713) 595-1200 at least three business days prior to the meeting so that the appropriate arrangements can be made.

HOBBY AREA MANAGEMENT DISTRICT  
(HARRIS COUNTY IMPROVEMENT DISTRICT NUMBER 9)  
CITY OF HOUSTON  
HARRIS COUNTY, TEXAS

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AGENDA MEMORANDUM

TO: Hobby Area Management District Board of Directors  
FROM: Executive Director  
SUBJECT: Agenda Item Materials

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3. Approve minutes of the meeting held January 14, 2016.

**MINUTES OF THE MEETING OF THE  
HOBBY AREA MANAGEMENT DISTRICT  
BOARD OF DIRECTORS**

**January 14, 2016**

**DETERMINE QUORUM; CALL TO ORDER.**

The Board of Directors of the Hobby Area Management District held a regular meeting on Thursday, January 14, 2016, at 1:00 p.m. in the Doubletree Hilton Hobby, 8181 Airport Blvd., Houston, Texas 77061, inside the boundaries of the District, open to the public, and the roll was called of the duly appointed members of the Board, to-wit:

Position 1 -	Danny Perkins, <i>Chairman</i>	Position 7 -	Marjorie Evans
Position 2 -	Helen Bonsall, <i>Vice-Chair</i>	Position 8 -	Joe Edd Nelson
Position 3 -	Todd Szilagyi	Position 9 -	Darryl Bailey
Position 4 -	vacant	Position 10 -	Vic J. Zachary
Position 5 -	Ann Collum	Position 11 -	Alberto Cardenas
Position 6 -	Sue De Haven, <i>Secretary</i>		

and all the above were present, with the exception of Directors Bonsall, Evans, Bailey and Zachary, thus constituting a quorum. Also present were David Hawes, Gretchen Larson, Linda Clayton, Jerry Lowry, Eoles Whitaker and Tony Allender, all with Hawes Hill Calderon, LLP; Clark Lord, Bracewell & Giuliani; and Jack Roland, Governmental Financial Reporting, LLC. Others attending the meeting were Mario Ramirez, Hobby Business Ambassador; Sheila Condon, Clark Condon Associates, Inc.; Rhedonda Cox and O'Brien McFadden, both of SEAL Security; and Joaquin Martinez, office of Council Member Robert Gallegos. Chairman Perkins called the meeting to order at 1:12 p.m.

**RECEIVE PUBLIC COMMENTS.**

Mr. Joaquin Martinez with the office of Council Member Robert Gallegos introduced himself. He provided a report on the press conference held regarding the Broadway project.

**APPROVE MINUTES OF MEETING HELD DECEMBER 10, 2015.**

Upon a motion duly made by Director Cardenas, and being seconded by Director Nelson, the Board voted unanimously to approve the Minutes of the December 10, 2015, Board meeting, as presented.

**RECEIVE ASSESSMENTS COLLECTION REPORT.**

Mr. Hawes reviewed the Assessments Collection Report, included in the Board agenda materials. He reported as of December 31 \$276,000 had been collected on the 2015 assessments and as of today \$619,000 had been collected for the 2015 assessments which is an approximate 36.4% collection rate. He reported the assessments become delinquent on February 2. No action from the Board was required.

**RECEIVE BOOKKEEPER'S REPORT AND APPROVE INVOICES FOR PAYMENT.**

Mr. Roland presented the Bookkeeper's Report and went over invoices, included in the Board agenda materials. Staff answered questions regarding various invoices. Upon a motion duly made by Director DeHaven, and being seconded by Director Collum, the Board voted unanimously to accept the Bookkeeper's Report and approved payment of invoices, as presented.

**RECEIVE STAFF ACTIVITY UPDATES ON THE FOLLOWING AREAS:**

**a. Environmental, Urban Design and Mobility**

Mr. Allender provided an update on the Livable Centers Grant. He reported he had received a draft of the scope of services and was in the process of reviewing. He reported the sub-committee for the Livable Centers will meet to review the scope. Director Nelson volunteered to replace Perry Miller on the sub-committee. Mr. Whitaker provided an update on possible locations for the mini murals. He stated at the next Committee meeting they would review and select artists. Ms. Condon provided an update on the Broadway project. She reported the completion date has been moved back from April to June. She answered questions regarding phases of the project. No action from the Board was required.

**b. Business & Economic Development**

Ms. Larson reported a meeting with a reporter from the Chronicle was scheduled to drive the District. She reported the State of District keynote speaker had been selected, Bob Harvey with Greater Houston Partnership. She reported the Committee is continuing its work on the HobbyFest event. No action from the Board was required.

**c. Public Safety**

Mr. Whitaker provided an update on criminal trespass and vagrant issues. He reported the County Attorney has filed suit against an adult bookstore and apartment complex in the District. There was general discussion regarding new property owners and businesses in the District. No action from the Board was required.

**RECEIVE EXECUTIVE DIRECTOR'S REPORT.**

Mr. Lowry reported there were no Committee meetings in December; however, staff continued to work on ongoing projects. Mr. Whitaker reported the 311 Cleanup Update Report was included in the board agenda materials. There was general discussion regarding roads being damaged by METRO buses in the District, particularly Rockhill and Glen Valley. Mr. Hawes announced Jerry Lowry has been appointed as the Operational Executive Director for the District and he would be the Senior Advisor. Director Cardenas requested at next month's Board meeting the Board be provided clarification of the roles of each staff member. Mr. Hawes reported he would provide a list of staff for the District and their functions.

**ADJOURN.**

There being no further business to come before the Board, Chairman Perkins adjourned the meeting at 1:55 p.m.

(Seal)

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Secretary



HOBBY AREA MANAGEMENT DISTRICT  
(HARRIS COUNTY IMPROVEMENT DISTRICT NUMBER 9)  
CITY OF HOUSTON  
HARRIS COUNTY, TEXAS

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**AGENDA MEMORANDUM**

TO: Hobby Area Management District Board of Directors  
FROM: Executive Director  
SUBJECT: Agenda Item Materials

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4. Receive the Assessment Collection Report.

**HARRIS COUNTY IMPROVEMENT DISTRICT NO. 9**  
**ASSESSMENT COLLECTION REPORT**  
**January 2016**  
**BILLING AND COLLECTION SUMMARY**  
**FISCAL YEAR**  
**01/01/15 - 12/31/15**

YEAR	RATE	TOTAL LEVY	COLLECTIONS	RECEIVABLE	% COLLECTED
2015	0.15000	\$1,718,173.01	\$947,637.91	\$770,535.10	55%
2014	0.15000	\$1,534,432.69	\$1,513,096.29	\$21,336.40	99%
2013	0.15000	\$1,358,882.19	\$1,350,222.83	\$8,659.36	99%

Current Month Activity

Revenue:	<u>Current Month</u>	<u>Year to Date</u>
2015 Assessment Collected	671,544.32	947,637.91
2014 Assessment Collected	790.18	1,262,446.92
2013 Assessment Collected	201.85	3,912.63
Penalty & Interest	257.30	28,839.72
Overpayments	276.34	3,714.51
CAD Lawsuits	0.00	48,681.61
CAD Corrections	0.00	0.00
Collection Fees	249.87	19,241.41
Court Fees	0.00	0.00
<b>Total Revenue</b>	<b>673,319.86</b>	<b>2,314,474.71</b>
Overpayments Presented for Refund	0.00	53,302.41
Overpayments Applied to Assessment	0.00	0.00

ASSESSED VALUE FOR 2015:	<b>1,162,042,231</b>	Uncertified:	<b>3,216,861</b>
ASSESSED VALUE FOR 2014:	<b>1,037,798,417</b>	Uncertified:	<b>0</b>
ASSESSED VALUE FOR 2013:	<b>920,437,086</b>	Uncertified:	<b>0</b>

Assessment Collection Account: Wells Fargo, Account No. 3402018257

**ASSESSMENT PLAN PROJECTIONS**

YEAR	CURRENT RATE	PROJECTED LEVY 2%	COLLECTIONS @ 95%	CUMULATIVE COLLECTIONS	10 YEAR AVERAGE
2013	0.15000	1,358,882	1,290,938	\$1,350,222.83	
2014	0.15000	1,386,060	1,316,757	\$1,513,096.29	
2015	0.15000	1,413,781	1,343,092	\$947,637.91	
2016	0.15000	1,442,057	1,369,954		
2017	0.15000	1,470,898	1,397,353		
2018	0.15000	1,500,316	1,425,300		
2019	0.15000	1,530,322	1,453,806		
2020	0.15000	1,560,928	1,482,882		
2021	0.15000	1,592,147	1,512,540		
2022	0.15000	1,623,990	1,542,791		
		14,879,381	17,946,369		1,487,938

The Projected Levy is based on the rate remaining at 0.15000

Prepared by: Equi-Tax Inc.  
Collector for the District



**HARRIS COUNTY IMPROVEMENT DISTRICT NO. 9**

January 2016					
TOP TEN ASSESSMENT PAYERS					
PROPERTY OWNER	ACCOUNT NOS	SITUS	PROPERTY TYPE	VALUE	ASSESSMENT
ARCP MT HOUSTON TX LLC 2325 E CAMELBACK RD STE 1100 PHOENIX AZ 85016-9078	1258210010001 1260630010001 1260630010005	0 MELDRUM 77075 0 GULF FWY 77075 10013 ALMEDA GENOA RD 77075	WAREHOUSE STORE	34,931,454	52,397.18
	1260630010006 1260630010010 1260630010011 1260630010012	10025 ALMEDA GENOA RD 77075 10009 ALMEDA GENOA RD 77075 0 MELDRUM 77075 0 ROWLETT ST 77075			
BROADWAY POST PARTNERS LLC 8205 SANTA MONICA BLVD STE 298 WEST HOLLYWOOD CA 90046-5967	0402390000108 1141750000001 0402390000101	8750 BROADWAY ST #222 77061 8955 BROADWAY ST #208 77061 8200 BROADWAY ST #224 77061	MULTI-FAMILY	31,253,764	46,880.65
	1097870000007 1141690000001 0402390000100	8900 GLENCREST ST #208 77061 8915 BROADWAY ST #200 77061 8601 BROADWAY ST #380 77061			
ACQUISITION BROADWAY SQUARE LLC 500 SKOKIE BLVD STE 200 NORTHBROOK IL 60062-2862	1097860000001 1097860000002 1097860000003	8751 BROADWAY ST #213 77061 7900 MORLEY ST #292 77061 8801 GLENCREST ST #322 77061	MULTI-FAMILY	23,746,236	35,619.35
	1097890000008	8950 GLENCREST ST #200 77061			
CENTER AMERICA PROPERTY TRUST LP 3901 BELLAIRE BLVD HOUSTON TX 77025-1100	1254930010002 1254930010001	10235 ALMEDA GENOA RD 77034 10225 ALMEDA GENOA RD 77034	RETAIL CENTER	20,632,565	30,948.85
ATRIUM FINANCE II LP MARRIOTT HOBBY 4243 HUNT RD BLUE ASH OH 45242-6645	0342030040003 0342030020130 0342030020118	9100 GULF FWY 77061 9100 GULF FWY 77061 9100 GULF FWY #287 77017	HOTEL	20,332,000	30,498.00
1859 HISTORIC HOTELS LTD 2302 POST OFFICE ST GALVESTON TX 77550-1935	0963850000001 0963850000003	8181 AIRPORT BLVD #303 77061 0 RUTHBY 77061	HOTEL	18,329,659	27,494.49
VIVA PROPERTIES LLC P O BOX 405 LOLITA TX 77971-0405	0971580000001 1199160010001 0971580000002	0 VILLA DR 77061 0 NEUHAUS AVE 77061 8300 TEWANTIN DR	WAREHOUSE	18,264,385	27,396.58

HARRIS COUNTY IMPROVEMENT DISTRICT NO. 9

January 2016					
TOP TEN ASSESSMENT PAYERS					
POWELL ELECTRICAL SYSTEMS LLC	1193970010001	7232 AIRPORT BLVD 77061	MANUFACTURING	17,622,467	26,433.70
8550 MOSLEY RD					
HOUSTON TX 77075-1116					
C G 7600 LP	0421910000006	7600 S SANTA FE DR 77061	WAREHOUSE	16,490,445	24,735.67
% GARVER	0421910000117	7600 S SANTA FE DR 77061			
1901 LEXINGTON ST					
HOUSTON TX 77098-4219					
INLAND DIVERSIFIED HOUSTON OREM LLC	1307400010001	6905 E OREM DR 77075	TRUCK TERMINAL	22,614,009	23,098.22
P O BOX 460069	1296790010001	6903 E OREM DR 77075			
ESCONDIDO CA 92046-0069					

HARRIS COUNTY IMPROVEMENT DISTRICT 9

January 2016					
TOP TEN DELINQUENT ACCOUNTS					
PROPERTY OWNER	ACCOUNT NO	SITUS	PROPERTY TYPE	ASSESSMENT YEAR(S)	ASSESSMENT
RIVERSIDE GENERAL HOSPITAL PO BOX 8128 HOUSTON TX 77288-8128	91 095 063 000 0022	7655 BELLFORT ST 77061	VACANT	2013 - 2014	4,053.50
FURNITURE BANK 2100 HUSSION ST HOUSTON TX 77003-5629	91 034 203 002 0122	8220 MOSLEY RD 77075	WAREHOUSE	2014	2,643.00
RBIP TRANSPORTATION LLC 110 E 4TH ST HOUSTON TX 77007-2502	91 034 207 011 0025	9201 TAVENOR LN 77075	WAREHOUSE	2014	2,577.78
HAMS AVIATION MAINTENANCE SRV INC 7766 BRANIFF ST HOUSTON TX 77061-5120	91 043 228 000 0031	8703 TELEPHONE RD 77061	STORAGE HANGAR	2013 - 2014	1,420.69
HOUSTON AREA BRKLAYERS JAC P O BOX 34583 HOUSTON TX 77234-4583	91 063 033 031 0009	9105 EDGEBROOK ST 77075	RETAIL STORE	2013 - 2014	1,362.52
BLACKBURN INSTRUMENTS CO 7525 WYNLEA ST HOUSTON TX 77061-2833	91 040 239 000 0050	7525 WYNLEA ST 77061	WAREHOUSE	2014	1,129.88
MERRIKH JAMILEH 8105 HOWARD DR HOUSTON TX 77017-4622	91 034 203 003 0126	8342 MOSLEY RD 77075	WAREHOUSE	2014	919.41
BOCHNIAK MICHAEL J 6611 TELEPHONE RD HOUSTON TX 77061-1635	91 057 259 000 0067	6611 TELEPHONE RD 77061	AUTO GARAGE	2013 - 2014	853.39
UPLIFT HOUSE P O BOX 8241 HOUSTON TX 77288-8241	91 060 077 007 0029	6636 BELLFORT ST #26 77087	MULTI-FAMILY	2014	812.78
STMS INC DBA SOUTH TEXAS ADULT DAY ACTIVITY CENTER 2602 GRANITE CT PEARLAND TX 77584-9155	91 070 072 027 0017	6011 TELEPHONE RD 77087	DAY CARE CENTER	2013 - 2014	795.08
* Pending HCAD Value Lawsuits					

Harris County Improvement District No. 9 / Hobby Area  
 Lawsuit and Arbitration Status Summary as of 1/8/2016

Jur 943

**Summary**

Settled

1,329,894,468	Original value of <b>Settled</b> accounts as of 1/8/2016
437	Number of <b>Settled</b> accounts as of 1/8/2016
195,828,586	Reduction in value of <b>Settled</b> accounts
<b>14.73%</b>	Average % reduction in value of <b>Settled</b> accounts*

Unsettled

230,264,628	Original value of <b>Unsettled</b> accounts as of 1/8/2016
64	Number of <b>Unsettled</b> accounts as of 1/8/2016

.15 Tax rate per \$100 valuation

<b>\$50,860</b>	Estimated* reduction in assessment on 64 Unsettled accounts, based on <b>14.73%</b> average
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*\* Historical data from Tax Years 2007 through 2012 were used to establish initial statistics for the "average % reduction in value of Settled accounts."*

**PERDUE, BRANDON, FIELDER, COLLINS & MOTT L.L.P.**  
**DELINQUENT ASSESSMENT REPORT**  
**HARRIS COUNTY IMPROVEMENT DISTRICT #9**  
**February 11, 2016**

Amounts shown are 2014 base assessment amounts unless indicated.

**Interventions into County or ISD suits:**

Riverside General Hospital 13-14 assessments \$4,053.50, 7655 Bellfort St.-0950630000022

We have intervened in a suit filed by Harris County; a trial date was set for 1/13/15 but the defendant requested a motion for a continuance which was granted. A new trial date is set for 2/5/16. (This report was prepared on 2/1.) The property was carried as exempt by HCAD for 2014 but the exemption was removed in November 2014. We checked title and determined that the lienholder foreclosed upon the property in February 2015.

RBIP Transportation LLC \$3,750.33, 2 accounts on Meldrum Rd. & 2 accounts at 9201 Tavenor Ln.-0342070110003, 0342070110005, 0342070110025, 0342070110041

We have intervened in a suit filed by Pasadena ISD. A hearing date has not been set at this time; we will monitor the case.

The Furniture Bank \$2,643.00, 8220 Mosley Rd.-0342030020122

We have intervened in a suit filed by Pasadena ISD; we will monitor the case. We spoke to the executive director, Oli Mohammed, and he said that they filed for exempt status on 8/12. On 9/24, the appraisal district confirmed that they have received the application and it is being reviewed.

Houston Area Bricklayers JAC 13-14 assessments \$1,362.52, 9105 Edgebrook St.-0630330310009

We have intervened in a suit filed by Harris County. A trial date was set for 9/30/15 but was passed because the defendant requested a continuance which was granted. A new trial date is set for 2/3/16. (This report was prepared on 2/1.)

Jamileh Merrikh \$919.41, 8342 Mosley Rd.-0342030030126

We have intervened in a suit filed by Harris County; a trial date is set for 2/2/16. (This report was prepared on 2/1.) We have also intervened in a suit filed by Pasadena ISD. We will monitor both cases.

Manning Family Partnership \$279.31, Reserve A Block 1 on Monroe Rd.-1184220010001

We have intervened in a suit filed by Pasadena ISD; we will monitor the case.

Edward John Stevens 13-14 assessments \$221.99, 8129 Telephone Rd.-0650500010008

We have intervened in a suit filed by Harris County; judgment was signed on 7/29/15. The judgment is final; a judgment letter has been sent but there has been no response.

Maria D. Arenas \$156.34, 6737 Alameda Genoa Rd.-0270270000003

We have intervened in a suit filed by Harris County; a trial date is set for 3/18/16. On 1/22, the property called and asked for payoff balance; she said the account would be paid shortly.

Abraham Green 13-14 assessments \$142.04, vacant tract on Bellfort St.-0410120010078

We have intervened in a suit filed by Harris County; judgment was signed on 5/11/15. The judgment is final; a judgment letter has been sent but there has been no response.

Isiah Hunt 13-14 assessments \$63.00, Lot 17, Block 9 on Northdale St.-0600770090017

We have intervened in a suit filed by Harris County; judgment was signed on 11/17/15.

The judgment is final; a judgment letter has been sent but there has been no response.

Estate of Khosrow Abtahi 13-14 assessments \$42.00, 6539 Northdale-0600770090024

We have intervened in a suit filed by Harris County; a trial date is set for 2/24/16.

- Where appropriate, delinquent 2015 assessments are included in the above suits.

**The following accounts have been paid in full:**

ALX International Trading LLC \$580.00, 6827 La Paseo St.-0620310050010  
Roberto Gonzalez 13-14 assessments \$70.64, 6665 Northdale St.-0804710000018  
Victor Lopez \$37.50, lots 19 & 20, block 47 on Edgebrook Dr.-0630350470039  
Spartan Group Ltd. Co. \$14.85, 5904 Luce St.-0640650160020

**2014 accounts:**

Blackburn Instruments Co. \$1,129.88, 7525 Wynlea St.-0402390000050

On 1/27, we spoke to Patsy again and she said that payment wasn't submitted as promised because expected funds did not come through. She said she is working to get the account paid and she would contact us again. They own the property with no mortgage.

- In addition to the accounts listed above, there are 16 accounts with 2014 base assessments ranging from \$2 to \$813; 13 of these accounts have base assessments of less than \$400. Demand letters have been sent, we are trying to reach each owner by phone and we are contacting lienholders, where appropriate.

**Other accounts:**

Ham's Aviation Maintenance Service Inc. 13-14 assessments \$1,420.69, improvements only account at 8703 Telephone Rd.-0432280000031

We have spoken to Carla Ham who said they left Houston many years ago and that Million Air is now the owner of this location. This is a building on City owned land at Hobby Airport. We have told HCAD what we know and we have asked them twice to let us know what they know about this account and they have not replied, the County tax office has almost \$145,000 on this account, it is odd that HCAD is unresponsive and nothing seems to have been done on this.

- In addition to the accounts listed above, there are 9 accounts with base assessments between \$400 and \$853 and 29 accounts that have base assessments of less than \$400. Demand letters have been sent, we are trying to reach each owner by phone and we are contacting lienholders, where appropriate. Due to the size of the accounts, further action is not recommended at this time.

If you have any questions, please feel free to contact me.



Carl O. Sandin

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ATTORNEYS AT LAW

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HOBBY AREA MANAGEMENT DISTRICT  
(HARRIS COUNTY IMPROVEMENT DISTRICT NUMBER 9)  
CITY OF HOUSTON  
HARRIS COUNTY, TEXAS

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**AGENDA MEMORANDUM**

TO: Hobby Area Management District Board of Directors  
FROM: Executive Director  
SUBJECT: Agenda Item Materials

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5. Receive the bookkeeper's report and approve invoices for payment.

**HOBBY AREA MANAGEMENT DISTRICT**

MONTHLY FINANCIAL REPORT

**DECEMBER 2015**



**Hobby Area Management District**  
**Balance Sheet**  
**As of December 2015**

	December 15
<b>ASSETS</b>	
Current Assets	
Checking/Savings	
1010 · Amegy Bank	98,010.88
1020 · Wells Fargo Bank	381,149.52
1030 · Plains State Bank - CD	247,179.45
1040 · TexSTAR-Operating	270,433.06
Total Checking/Savings	996,772.91
Other Current Assets	
1200 · Assessment Receivable	1,455,466.05
Total Other Current Assets	1,455,466.05
Total Current Assets	2,452,238.96
<b>TOTAL ASSETS</b>	2,452,238.96
<b>LIABILITIES &amp; EQUITY</b>	
Liabilities	
Current Liabilities	
Accounts Payable	
2000 · Accounts Payable	71,873.96
Total Accounts Payable	71,873.96
Other Current Liabilities	
2100 · Assessment Refunds Payable	2,909.17
2200 · Deferred Assessment Revenue	1,751,218.49
Total Other Current Liabilities	1,754,127.66
Total Current Liabilities	1,826,001.62
Total Liabilities	1,826,001.62
Equity	
3000 · Retained Earnings	415,114.05
Net Income	211,123.29
Total Equity	626,237.34
<b>TOTAL LIABILITIES &amp; EQUITY</b>	2,452,238.96

**Hobby Area Management District**  
**Statement of Sources & Uses - Budget vs Actual**  
**December 2015**

	<u>Dec 15</u>	<u>Budget</u>	<u>Jan - Dec 15</u>	<u>YTD Budget</u>	<u>Annual Budget</u>
<b>SOURCES OF FUNDS</b>					
4000 · District Assessments	114,020.33	122,959.00	1,534,432.69	1,475,516.00	1,475,516.00
4010 · Penalty & Interest Revenue	504.48	1,666.00	28,582.42	20,000.00	20,000.00
4020 · Overpayments	1,741.92	1,166.00	52,119.78	14,000.00	14,000.00
4030 · Assessment Refunds	-1,741.91	-2,000.00	-53,302.41	-24,000.00	-24,000.00
4040 · Street Light Reimbursement	0.00	0.00	0.00	134,678.00	134,678.00
4050 · Grant and Donations	0.00	0.00	0.00	40,000.00	40,000.00
4060 · Interest Revenue	318.41	25.00	1,924.75	300.00	300.00
<b>Total SOURCES OF FUNDS</b>	<u>114,843.23</u>	<u>123,816.00</u>	<u>1,563,757.23</u>	<u>1,660,494.00</u>	<u>1,660,494.00</u>
<b>USES OF FUNDS</b>					
<b>Public Safety</b>					
6010 · Contract Public Safety	18,373.50	16,849.00	184,855.50	202,180.00	202,180.00
6015 · Apartment Life Initiatives	1,615.00	2,084.00	19,661.00	25,000.00	25,000.00
6020 · Mobile Cameras	3,300.00	2,620.00	33,835.44	28,800.00	28,800.00
6020 · Nuisance Abatement Program	4,020.75	5,000.00	40,207.50	50,000.00	50,000.00
6030 · Street Light Outage Survey	350.00	175.00	2,100.00	2,100.00	2,100.00
6035 · Graffiti Abatement	3,200.00	2,791.00	33,280.00	33,500.00	33,500.00
<b>Total Public Safety</b>	<u>30,859.25</u>	<u>29,519.00</u>	<u>313,939.44</u>	<u>341,580.00</u>	<u>341,580.00</u>
<b>Mobility, Environ, Urban Design</b>					
6050 · Porter Service	5,416.67	4,375.00	65,000.04	52,500.00	52,500.00
<b>Total Mobility, Environ, Urban Design</b>	<u>5,416.67</u>	<u>4,375.00</u>	<u>65,000.04</u>	<u>52,500.00</u>	<u>52,500.00</u>
<b>Business &amp; Economic Development</b>					
6060 · Economic Developmt Programs	2,812.31	13,500.00	16,544.66	162,000.00	162,000.00
6065 · Events, Festivals, Comm. Proj.	3,297.84	3,084.00	16,862.51	37,000.00	37,000.00
6070 · Creative ED Support	8,310.00	5,975.00	63,099.42	71,700.00	71,700.00
6075 · Marketing Mtls & Promo Items	1,245.00	2,334.00	13,222.88	28,000.00	28,000.00
<b>Total Business &amp; Economic Development</b>	<u>15,665.15</u>	<u>24,893.00</u>	<u>109,729.47</u>	<u>298,700.00</u>	<u>298,700.00</u>
<b>Administration</b>					
<b>Exec &amp; Board Support Services</b>					
6110 · Administration & Management	9,292.00	9,292.00	111,504.00	111,504.00	111,504.00
6115 · Meeting Expense	384.00	416.00	9,516.45	5,000.00	5,000.00
6120 · Reimbursable Expenses	1,152.77	666.00	8,485.33	8,000.00	8,000.00
6125 · Postage	6.92	9.00	281.52	100.00	100.00
6130 · Office Supplies	523.76	116.00	5,380.57	1,400.00	1,400.00
6135 · Public Notices, Advertising	359.00	100.00	467.19	1,200.00	1,200.00
<b>Total Exec &amp; Board Support Services</b>	<u>11,718.45</u>	<u>10,599.00</u>	<u>135,635.06</u>	<u>127,204.00</u>	<u>127,204.00</u>
6140 · Legal Services	1,348.96	2,500.00	42,721.95	30,000.00	30,000.00
6145 · Audit Services	0.00	250.00	6,050.00	3,000.00	3,000.00
6150 · Bookkeeping	600.00	600.00	7,200.00	7,200.00	7,200.00
6155 · Assessment Billing	1,958.00	1,875.00	27,797.54	22,500.00	22,500.00
6160 · Assessmt Database Mgmt	300.00	300.00	3,600.00	3,600.00	3,600.00
6165 · Office Equipment	0.00	250.00	0.00	3,000.00	3,000.00
6170 · Insurance	0.00	125.00	1,731.66	1,500.00	1,500.00
6175 · Other	13.00	59.00	213.00	700.00	700.00
<b>Total Administration</b>	<u>15,938.41</u>	<u>16,558.00</u>	<u>224,949.21</u>	<u>198,704.00</u>	<u>198,704.00</u>

**Hobby Area Management District  
Statement of Sources & Uses - Budget vs Actual**

**December 2015**

	<u>Dec 15</u>	<u>Budget</u>	<u>Jan - Dec 15</u>	<u>YTD Budget</u>	<u>Annual Budget</u>
<b>Community Development Fund</b>					
<b>Hobby Fest</b>					
6210 · Committee Support & Supplies	0.00	334.00	0.00	4,000.00	4,000.00
6220 · Marketing & Promotional Items	1,240.00	1,334.00	24,555.76	16,000.00	16,000.00
6230 · Creative Services	0.00	416.00	8,428.02	5,000.00	5,000.00
<b>Total Hobby Fest</b>	<u>1,240.00</u>	<u>2,084.00</u>	<u>32,983.78</u>	<u>25,000.00</u>	<u>25,000.00</u>
<b>Other Community Projects</b>	<u>0.00</u>	<u>15,000.00</u>	<u>0.00</u>	<u>15,000.00</u>	<u>15,000.00</u>
<b>Total Community Development Fund</b>	<u>1,240.00</u>	<u>17,084.00</u>	<u>32,983.78</u>	<u>40,000.00</u>	<u>40,000.00</u>
<b>Total USES OF FUNDS - General Fund</b>	<u>69,119.48</u>	<u>92,429.00</u>	<u>746,601.94</u>	<u>931,484.00</u>	<u>931,484.00</u>
<b>Excess (Deficiency) of Sources over Uses-GF</b>	<u>45,723.75</u>	<u>31,387.00</u>	<u>817,155.29</u>	<u>729,010.00</u>	<u>729,010.00</u>
<b>Capital Projects</b>					
8010 · Fund Reserve - District Office	0.00	4,166.00	0.00	50,000.00	50,000.00
8020 · Broadway Blvd. Hardscape	0.00	0.00	557,432.00	557,432.00	557,432.00
8030 · Livable Centers Grant Match	0.00	53,750.00	0.00	53,750.00	53,750.00
8040 · CIP/Project Manager	4,050.00	4,050.00	48,600.00	48,600.00	48,600.00
<b>Total Capital Projects</b>	<u>4,050.00</u>	<u>61,966.00</u>	<u>606,032.00</u>	<u>709,782.00</u>	<u>709,782.00</u>
<b>Total Excess (Deficiency) of Sources over Uses</b>	<u>41,673.75</u>	<u>-30,579.00</u>	<u>211,123.29</u>	<u>19,228.00</u>	<u>19,228.00</u>
<b>Cash on Hand 01-01-2015</b>					<u>428,278.00</u>
<b>Net Budget</b>					<u>447,506.00</u>

**Hobby Area Management District  
Bank Account Registers  
As of February 18, 2016**

<u>Type</u>	<u>Date</u>	<u>Num</u>	<u>Name</u>	<u>Memo</u>	<u>Amount</u>	<u>Balance</u>
<b>Amegy Bank</b>						<b>25,394.63</b>
Debit	01/06/2016	Debit	Amegy Bank	Wire & Service Fee	(48.00)	25,346.63
Bill Pmt -Check	01/15/2016	1475	Bracewell & Giuliani LLP	General Counsel	(826.25)	24,520.38
Bill Pmt -Check	01/15/2016	1476	Harris County Treasurer	Interlocal/Community Protection	(4,020.75)	20,499.63
Bill Pmt -Check	01/15/2016	1477	Houston Business Journal	Book of Lists	(350.00)	20,149.63
Bill Pmt -Check	01/15/2016	1478	SEAL Security Solutions LLC	Security	(4,212.00)	15,937.63
Bill Pmt -Check	02/04/2016	1479	Amegy Visa Credit Card	Miscellaneous	(458.92)	15,478.71
Transfer	02/17/2016		TexSTAR	Transfer from TexSTAR	100,000.00	115,478.71
Bill Pmt -Check	02/18/2016	1480	Amber Ambrose	Social Media Management	(1,955.22)	113,523.49
Bill Pmt -Check	02/18/2016	1481	Bracewell & Giuliani LLP	General Counsel	(2,279.02)	111,244.47
Bill Pmt -Check	02/18/2016	1482	Chris Labod	Website Maintenance	(350.00)	110,894.47
Bill Pmt -Check	02/18/2016	1483	Core Logic Safe Rent	Apartment Life Initiatives	(1,615.00)	109,279.47
Bill Pmt -Check	02/18/2016	1484	Equi-tax Inc.	Monthly Roll Mgmt. & Collection	(2,425.71)	106,853.76
Bill Pmt -Check	02/18/2016	1485	Governmental Financial Reporting	Bookkeeping	(648.47)	106,205.29
Bill Pmt -Check	02/18/2016	1486	Greater East End Management	Graffiti Abatement	(2,560.00)	103,645.29
Bill Pmt -Check	02/18/2016	1487	Harris County Treasurer	Interlocal/Community Protection	(4,020.75)	99,624.54
Bill Pmt -Check	02/18/2016	1488	Hawes Hill Calderon LLC	Admin./Management	(16,359.97)	83,264.57
Bill Pmt -Check	02/18/2016	1489	Magoo's Print Shop	Business Cards	(144.00)	83,120.57
Bill Pmt -Check	02/18/2016	1490	NAACP Houston Branch	2015 Gala & Program Advocacy	(5,000.00)	78,120.57
Bill Pmt -Check	02/18/2016	1491	Perdue, Brandon, Fielder, Collins	Delinquent collections	(522.71)	77,597.86
Bill Pmt -Check	02/18/2016	1492	SEAL Security Solutions LLC	Security	(19,650.00)	57,947.86
Bill Pmt -Check	02/18/2016	1493	SMC Logistics	Liter abatement of right-of-way	(9,166.67)	48,781.19
<b>Total Amegy Bank</b>					<b>23,386.56</b>	<b>48,781.19</b>
<b>Wells Fargo Bank</b>						<b>81,149.52</b>
Deposit	01/31/2016		Revenue	January Tax Revenue	674,105.27	755,254.79
Deposit	01/31/2016		Interest	January Interest	19.01	755,273.80
Transfer	01/07/2016		Transfer	Transfer to TexSTAR	(650,000.00)	105,273.80
<b>Total Wells Fargo Bank</b>					<b>24,124.28</b>	<b>105,273.80</b>
<b>Plains State Bank - CD</b>						<b>246,930.49</b>
Deposit	12/31/2015		Interest	Interest	248.96	247,179.45
<b>Total Plains State Bank - CD</b>					<b>248.96</b>	<b>247,179.45</b>
<b>TexSTAR-Operating</b>						<b>570,372.58</b>
Deposit	12/31/2015		Interest	December Interest	60.48	570,433.06
Deposit	01/31/2016		Interest	January Interest	122.82	570,555.88
Transfer	02/17/2016		Transfer	Transfer to Amegy Operating	(100,000.00)	470,555.88
Transfer	02/17/2016		Transfer	Transfer from Wells Fargo	650,000.00	1,120,555.88
<b>Total TexSTAR-Operating</b>					<b>550,183.30</b>	<b>1,120,555.88</b>
<b>TOTAL</b>					<b>597,943.10</b>	<b>1,521,790.32</b>

Restricted for management's use only

**Bracewell & Giuliani LLP**  
ATTORNEYS AT LAW

P. O. Box 848566  
Dallas, TX 75284-8566  
713 223-2300  
TAX ID 74-1024827

Page 1

Hobby Area Management District  
711 Louisiana  
Suite 2300  
Houston, TX 77002

December 31, 2015  
Invoice: 21637597  
B/A: 04674

Our Matter #: 069002.000001 For Services Through December 31, 2015  
General Counsel

			Hours	Rate/Hr	Amount
12/10/15	Prepare for and attend Board meeting	Clark Stockton Lord	2.00	450.00	900.00
12/14/15	Prepare letter to the Harris County Clerk transmitting the Amended Information Form filing	Deborah Russell	0.25	325.00	81.25

Summary of Fees

	Hours	Rate/Hr	Amount
Clark Stockton Lord	2.00	450.00	900.00
Deborah Russell	0.25	325.00	81.25
<b>Total</b>	<b>2.25</b>	<b>387.50</b>	<b>981.25</b>

Less Discount (155.00)

**Total Fees for Professional Services \$ 826.25**

Fees for Professional Services.....\$ 826.25

**Total Current Billing for this Matter \$ 826.25**

STATEMENT FOR PROFESSIONAL SERVICES IS PAYABLE UPON PRESENTATION  
INVOICE AMOUNT DUE IN UNITED STATES DOLLARS

BARBARA J. SCHOTT  
HARRIS COUNTY AUDITOR  
1001 Preston, Suite 800  
Houston, Texas 77002  
(713) 755-1160



REMIT PAYMENT TO:  
Harris County Treasurer  
Orlando Sanchez  
1001 Preston, Room 652  
Houston, Texas 77002

Invoice / Statement No.: AH010958

Customer No.: V00091127

**INVOICE**

HOBBY AREA MANAGEMENT  
ATTN: DAVID HAWES & BILL C  
PO BOX 22167  
HOUSTON, TX 77227-2167

Amount Due: 4,020.75  
Amount Paid: \_\_\_\_\_

(Please detach and mail this top portion with payment)

DATE	DESCRIPTION	AMOUNT
01/04/16	FEB'16: ASST. COUNTY ATTY FEE	4,020.75

The above amount is for County services. Amounts are due upon receipt of the invoice. If you have any questions, contact Accounts Receivable at 713-755-1160.

REMIT PAYMENT TO: HARRIS COUNTY TREASURER  
Orlando Sanchez  
1001 Preston, Room 652  
Houston, Texas 77002

County Auditor's Form #581  
Harris County, Texas (REV. 3/07)



5444 Wesheimer Road  
Suite 1700  
Houston TX 77056  
713-858-8511

Invoice Number: 40772  
Customer Number: A-177885

**Advertising Invoice**

Hobby Area Management District  
P O Box 22167  
Houston TX 77227-2167  
USA

Advertising Customer: Hobby Area Management District

Issue Date: 12/25/2015	Invoice Date: 12/25/2015
Sales Rep: Lenora Black	Terms: Net Due 30 Days

Line	Description	Quantity	Price
1	Book of Lists Logo Combo 2015-Classified Display-BOL PO Numt	1	350.00

Amount Due : \$350.00

REMIT TO:  
Houston Business Journal  
PO Box 844755  
Dallas, TX 75284-4755

Please detach and return with payment. Any questions please contact  
Sheila Stringer at 713-395-9647 or sstringer@hbjournals.com

Customer Number: A-177885  
Invoice Number: 40772

Amount Due : \$350.00



**SEAL Security Solutions LLC**

1525 Blalock Road  
Houston, TX 77080-7318  
www.SEALSecurity.com  
TX DPS Lic. #C15942

**Invoice**

Date	Invoice #
1/4/2016	28895

<b>Bill To</b> Hobby Area Management District 9610 Long Point, Ste. 150 Houston, TX 77055
--

Terms
Net 30

Description	Hours	Rate/ Hour	Amount
Three Commissioned Officers with Vehicles and Occasional K-9	120	27.00	3,240.00
Three Commissioned Officers with Vehicles and Occasional K-9 - New Years Day Holiday **Holiday Hours are billed at 1.5 Times the regular rate**	24	40.50	972.00
Patrol Period 12/28/2015 through 01/03/2016			
Patrols are billed every Monday for the hours patrolled the week preceding the above invoice date. This invoice is Monday through Sunday inclusive.			
Sales Tax (8.25%)			\$0.00
<b>Total</b>			<b>\$4,212.00</b>

Thank you for allowing us to earn your business.



**INVOICE**

Amber Ambrose  
6431 Fairwood Dr.  
Houston, TX 77088

amber@amberambrose.com  
361.813.6681  
amberambrose.com

**Client:**  
Hobby Area Management District  
PO Box 22167, Houston, TX 77227-2167  
Attn: Gretchen Larson  
Invoice #246

**Date:**  
February 1, 2016

**Project Description:**  
Social Media Management, editing

**Hourly Charges or Project Fee:**  
\$1,700 - Creative Support

**Additional Charges or Reimbursements:**  
\$255.22 - making

**Adjustments:**  
\$0

**Total Fee Due:**  
\$1955.22

Please send check to addressee/address above OR PayPal funds to  
amber@amberambrose.com. Thank you for your patronage!

**Bracewell LLP**  
ATTORNEYS AT LAW

P. O. Box 848566  
Dallas, TX 75284-8566  
713 223-2300  
TAX ID 74-1024827

Hobby Area Management District  
711 Louisiana  
Suite 2300  
Houston, TX 77002

February 4, 2016  
Invoice: 21640425  
B/A: 04674

Our Matter #: 069002.000001 For Services Through January 31, 2016  
General Counsel

			Hours	Rate/Hr	Amount
01/07/16	Meeting with C Lord regarding compliance with HB1295; prepare letter regarding same for distribution to D Hawes	Deborah Russell	0.25	325.00	81.25
01/14/16	Prepare for and attend Board meeting	Clark Stockton Lord	1.50	450.00	675.00
01/14/16	Work on the bid documents and construction contract documents for the Broadway Enhancement Project	Deborah Russell	2.25	325.00	731.25
01/15/16	Review and revise Bid/contract documents regarding Broadway	Clark Stockton Lord	1.00	450.00	450.00
01/15/16	Work on the bid and construction documents for the Broadway Enhancement Project	Deborah Russell	2.00	325.00	650.00
01/25/16	Email correspondence with TSG Reporting regarding the invoice for the court reporter and transcript for the October hearing on the supplemental roll	Deborah Russell	0.25	325.00	81.25

Summary of Fees

	Hours	Rate/Hr	Amount
Clark Stockton Lord	2.50	450.00	1,125.00
Deborah Russell	4.75	325.00	1,543.75
<b>Total</b>	<b>7.25</b>	<b>387.50</b>	<b>2,668.75</b>

Less Discount (421.25)  
**Total Fees for Professional Services \$ 2,247.50**

Costs

STATEMENT FOR PROFESSIONAL SERVICES IS PAYABLE UPON PRESENTATION  
INVOICE AMOUNT DUE IN UNITED STATES DOLLARS

**Bracewell LLP**  
ATTORNEYS AT LAW

Hobby Area Management District

February 4, 2016  
Invoice: 21640425

Amount

<b>Other Costs</b>			
01/12/16	Other Costs - Harris County Clerk filing fee for amend info form - Hobby Area		28.75
<b>Postage</b>			
01/31/16	Postage 01 012216 04674 POSTAGE Batch 770		2.17
<b>Reproductions</b>			
01/06/16	Reproductions EQUITRAC COPIES 6 pages BATCH 0110		0.60
Fees for Professional Services		\$2,247.50	
Costs		31.52	
<b>Total Current Billing for this Matter</b>			<b>\$2,279.02</b>



**Chris Labod**  
2502 Deer Forest Dr  
Spring TX 77373  
(281)-658-0741

Hobby Area Management District  
9610 Long Point Rd., Ste 150  
Houston TX 77055

**Invoice**

Feb. 01, 2016  
Invoice # 2016-02-375  
Website Maintenance

Item	hrs / qty	rate / price	taxes	subtotal
Website Maintenance	00.00	\$350.00		\$350.00

Subtotal \$350.00  
Total due by Feb 15, 2016 \$350.00

Equi-Tax Inc.

Suite 200  
17111 Rolling Creek Drive  
Houston Texas 77090  
281-444-4866

Invoice

DATE	INVOICE #
2/1/2016	49050

BILL TO  
Harris County Improvement District No. 9  
Hawes Hill Calderon LLP  
10103 Fondren Road, Suite 300  
Houston TX 77096

Make check payable to:  
Core Logic Safe Rent  
P.O. Box 402453  
Atlanta, GA 30384-2453

Ledger: February 2016  
Hobby Area Management District  
P.O. Box 22167  
Houston, TX 77227

Invoice Date	Invoice #	Account #	Property Name	Company	Area	Yes	No	Amount
2/1/2016	16412	T6590	7518 Montley Street Apts	DM Ventures, LLC	Yes	Yes	130	\$17.50
2/1/2016	16412	T6607	Alta Verde	First Choice Management	Yes	Yes	210	\$27.50
2/1/2016	16412	T6529	Julia Broadway	First Choice Management	Yes	Yes	60	\$7.50
2/1/2016	16412	T6492	Bullseye Villas	First Choice Management	Yes	Yes	60	\$7.50
2/1/2016	16412	T6524	Belvoir Plaza	First Choice Management	Yes	Yes	58	\$7.50
2/1/2016	16412	T6654	Broadway Casa	Global Investment Properties	Yes	Yes	154	\$19.50
2/1/2016	16412	T6462	Casa San Lucas	Global Investment Properties	Yes	Yes	106	\$13.50
2/1/2016	16412	T6461	Casa Cruz	Global Investment Properties	Yes	Yes	24	\$3.00
2/1/2016	16412	T6451	Casa Grande	Global Investment Properties	Yes	Yes	267	\$33.75
2/1/2016	16412	T3376	Chez Orleans	Global Investment Properties	Yes	Yes	65	\$8.25
2/1/2016	16412	T1031	Clearwood Villa Apts	Kindred Residential	Yes	Yes	218	\$27.25
2/1/2016	16412	T5778	Clearwood Villa Apts	Kindred Residential	Yes	Yes	218	\$27.25
2/1/2016	16412	T1068	Del Lago	Kindred Residential	Yes	Yes	23	\$2.875
2/1/2016	16412	T7094	Dover Cove Apts	Kindred Residential	Yes	Yes	218	\$27.25
2/1/2016	16412	T6459	Esperanza	Kindred Residential	Yes	Yes	218	\$27.25
2/1/2016	16412	T6458	St. Paulinas	Kindred Residential	Yes	Yes	218	\$27.25
2/1/2016	16412	T1150	Lenoxa	Kindred Residential	Yes	Yes	51	\$6.375
2/1/2016	16412	T1150	Lenoxa	Kindred Residential	Yes	Yes	51	\$6.375
2/1/2016	16412	T6460	Lenoxa	Kindred Residential	Yes	Yes	51	\$6.375
2/1/2016	16412	T5759	Leopolda Square	Kindred Residential	Yes	Yes	140	\$17.50
2/1/2016	16412	T6480	Los Arcos	Kindred Residential	Yes	Yes	41	\$5.125
2/1/2016	16412	T1403	Pebble Walk	Kindred Residential	No	Yes	62	\$7.75
2/1/2016	16412	T4956	Redford Square	Kindred Residential	No	Yes	38	\$4.75
2/1/2016	16412	T6458	Sterling Court Apt Homes	Kindred Residential	Yes	Yes	64	\$8.00
2/1/2016	16412	T11509	Telephone Road Apts	Kindred Residential	Yes	Yes	228	\$28.50
2/1/2016	16412	T6463	Vista Verde	Kindred Residential	Yes	Yes	61	\$7.625
2/1/2016	16412	T6463	Vista Verde	Kindred Residential	Yes	Yes	200	\$25.00
2/1/2016	16412	T6463	Vista Verde	Kindred Residential	Yes	Yes	100	\$12.50

REMIT THIS STATEMENT WITH PAYMENT

DESCRIPTION	AMOUNT
Roll Management	300.00
Monthly Fee per Contract for Assessment Collection	2,125.71
<b>Total</b>	<b>\$2,425.71</b>

Governmental  
Financial  
Reporting, LLC

1525 Lakeville Drive, Suite 121  
Kingwood, TX 77339  
(281) 348-9151  
FAX (281) 348-9199

2/2/2016

Hobby Area Management District  
P.O. Box 22167  
Houston, TX 77227-2167

For Professional Services Rendered

Bookkeeping services provided during January 2016 for the month of December 2015	600.00
Postage and Deliveries	7.07
Mileage	41.40
<b>Total</b>	<b>\$648.47</b>

Greater East End Management District



Greater East End Management District  
P.O. Box 23069  
Houston, TX 77223-0399  
713-928-9916  
equitor@greatereastend.com

Invoice

Date	Invoice #
01/31/2016	25-23
Terms	Due Date
Net 30	02/23/2016

BILL TO  
David Hawes  
Hobby Area Management District  
P.O. Box 22167  
Houston, Texas 77227-2167

Amount Due	Enclosed
\$2,543.00	

Date	Service	Activity	Quantity	Rate	Amount
01/05/2016	Graffiti Abatement	Constituent visits, waiver collection, follow-up visits, graffiti identification, reporting, and graffiti abatement. Sites Abated: 16	6	60.00	640.00
01/12/2016	Graffiti Abatement	Constituent visits, waiver collection, follow-up visits, graffiti identification, reporting, and graffiti abatement. Sites Abated: 14	6	60.00	640.00
01/19/2016	Graffiti Abatement	Constituent visits, waiver collection, follow-up visits, graffiti identification, reporting, and graffiti abatement. Sites Abated: 14	6	60.00	640.00
01/26/2016	Graffiti Abatement	Constituent visits, waiver collection, follow-up visits, graffiti identification, reporting, and graffiti abatement. Sites Abated: 2	2	60.00	160.00
01/29/2016	Graffiti Abatement	Constituent visits, waiver collection, follow-up visits, graffiti identification, reporting, and graffiti abatement. Sites Abated: 19	6	60.00	480.00
<b>Total:</b>					<b>\$2,560.00</b>



BARBARA J. SCHOTT  
HARRIS COUNTY AUDITOR  
1001 Preston, Suite 800  
Houston, Texas 77002  
(713) 755-1160



REMIT PAYMENT TO:  
Harris County Treasurer  
Orlando Sanchez  
1001 Preston, Room 652  
Houston, Texas 77002

Invoice / Statement No.: AH011248  
Customer No.: V00091127  
Amount Due: 4,020.75  
Amount Paid:

INVOICE

HOBBY AREA MANAGEMENT  
ATTN DAVID HAWES & BILL C  
PO BOX 22167  
HOUSTON, TX 77227-2167

(Please detach and mail this top portion with payment)

DATE	DESCRIPTION	AMOUNT
02/01/16	MAR'16: ASST. CA FEE	4,020.75

The above amount is for County services. Amounts are due upon receipt of the invoice. If you have any questions, contact Accounts Receivable at 713-755-1160.

REMIT PAYMENT TO: HARRIS COUNTY TREASURER  
Orlando Sanchez  
1001 Preston, Room 652  
Houston, Texas 77002

County Auditor's Form #581  
Harris County, Texas (REV. 3/07)



INVOICE for email

Date: 1/15/2016  
Invoice #: 16-1056

Bill To:  
Hobby Area District  
PO Box 22671  
Houston, TX 77227-2167

P.O. Number	Customer Contact	Rep	Account #	Terms
	Gretchen Larson			Net 10 Days
Item Code	Quantity	Description	Amount	
C8000	1000 each	Business Cards - J. Lowry	72.00	
C8000	1000 each	Business Cards - M. Ramirez	72.00	
<b>Total</b>			<b>\$144.00</b>	

Thank you for choosing Magoo's! EIN # 20-0544930

Magoo's PrintShop, Inc. \* Certified WBE / HUB  
18637 West Hardy, Suite E \* Houston, Texas 77060 \* magoosprintshop.com  
281.875.6000 \* Fax 281.875.6048 \* Toll Free 855.850.0022 \* Toll Free Fax 888.890.0022



NAACP HOUSTON BRANCH  
2015 ADVOCACY INVOICE

NAACP Houston Branch  
2002 Wheeler  
Houston, TX 77004  
(713) 576-3389-Phone  
(713) 630-2699-Fax  
houston.naacp.org  
www.naacphouston.org

DATE	INVOICE / P O #
01/20/2016	2015029

BILL TO

Danny R. Perkins  
Hobby Area Management District  
P.O. Box 22167  
Houston, TX 77227-2167

Officers  
James Matthew Douglas, Esq.  
President  
Tah Foley II, Esq.  
1<sup>st</sup> Vice President  
Claude Cummings Jr.  
2nd Vice President  
Carol Mims Calloway  
3rd Vice President  
Dr. Cherry R. Gooden  
Secretary  
Algenita Scott Davis, Esq.  
Assistant Secretary  
Carroll G. Robinson, Esq.  
Treasurer  
Johnny D. Geraty Jr.  
Assistant Treasurer  
Tah Foley II, Esq.  
Branch General Counsel

DESCRIPTION	AMOUNT
Sponsorship of NAACP Houston Branch	
2015 Gala and Program Advocacy	\$ 5,000.00

Please make checks payable to:  
NAACP Houston Branch  
Attn: Freedom Fund Banquet  
2002 Wheeler Avenue  
Houston, TX 77004  
Branch Organization Tax ID: 26-2146815  
The NAACP Houston Branch is a subsidiary of the National NAACP a 501(c)3. The NAACP Houston Branch is a 501(c)4 organization.  
TOTAL \$ 5,000.00  
Big & Ed

Executive Committee  
Gloria Blevins, Esq.  
Reuben Brown  
Sandra A. Burleson  
Firma Caldwell  
Flordia Cooper  
Gene Diaz  
Darrell Douglas  
Dr. Carolyn Evans-Stebbins  
Steven Florin  
Judy Forson  
Raymond Franklin  
Avelina Holmes  
Regina Hosea  
Annette Jackson  
Clarence Johnson  
Linda Johnson  
Dellie Jones  
Gregory Kenney  
W. Clyde Lemon, Esq.  
Sylvia McCarter  
Carol Moore  
Rev. James Nash  
Morris Orentreich  
J. Goodwin Pierre  
J. Allen Prosser  
Gwendolyn Saulsbury  
Richard Shaw  
Dr. Jacqueline Smith  
Jylina Smith  
Howard E. Jefferson  
National Board Member  
Volanda Smith  
Executive Director

PERDUE, BRANDON, FIELDER, COLLINS & MOTT LLP  
Attorneys at Law  
1235 North Loop West, Suite 600  
Houston, Texas 77008

INVOICE #	IVC00029252
DATE	1/13/2016
PAGE	1

Bill to:  
Harris County ID #9 (Hobby Area Management Dis  
c/o Equi-Tax, Inc.

DESCRIPTION	EXT. CHG.
Professional Services rendered in the collection of delinquent taxes, penalties and interest December, 2015.	\$522.71
<b>Total</b>	<b>\$522.71</b>



### SEAL Security Solutions LLC

1525 Blalock Road  
Houston, TX 77080-7318  
www.SEALSecurity.com  
TX DPS Lic. #C15942

### Invoice

Date	Invoice #
1/11/2016	28961

**Bill To**

Hobby Area Management District  
9610 Long Point, Ste. 150  
Houston, TX 77055

**Terms**

Net 30

Description	Hours	Rate/ Hour	Amount
Three Commissioned Officers with Vehicles and Occasional K-9	144	27.00	3,888.00
Patrol Period 01/04/2016 through 01/10/2016			
Patrols are billed every Monday for the hours patrolled the week preceding the above invoice date.			
This invoice is Monday through Sunday inclusive.			
Sales Tax (8.25%)			\$0.00
<b>Total</b>			<b>\$3,888.00</b>

Thank you for allowing us to earn your business.



### SEAL Security Solutions LLC

1525 Blalock Road  
Houston, TX 77080-7318  
www.SEALSecurity.com  
TX DPS Lic. #C15942

### Invoice

Date	Invoice #
1/18/2016	29023

**Bill To**

Hobby Area Management District  
9610 Long Point, Ste. 150  
Houston, TX 77055

**Terms**

Net 30

Description	Hours	Rate/ Hour	Amount
Three Commissioned Officers with Vehicles and Occasional K-9	142	27.00	3,834.00
Patrol Period 01/11/2016 through 01/17/2016			
Patrols are billed every Monday for the hours patrolled the week preceding the above invoice date.			
This invoice is Monday through Sunday inclusive.			
Sales Tax (8.25%)			\$0.00
<b>Total</b>			<b>\$3,834.00</b>

Phone # 713-979-2388

Thank you for allowing us to earn your business.



### SEAL Security Solutions LLC

1525 Blalock Road  
Houston, TX 77080-7318  
www.SEALSecurity.com  
TX DPS Lic. #C15942

### Invoice

Date	Invoice #
1/25/2016	29088

**Bill To**

Hobby Area Management District  
9610 Long Point, Ste. 150  
Houston, TX 77055

**Terms**

Net 30

Description	Hours	Rate/ Hour	Amount
Three Commissioned Officers with Vehicles and Occasional K-9	130	27.00	3,510.00
Patrol Period 01/18/2016 through 01/24/2016			
Patrols are billed every Monday for the hours patrolled the week preceding the above invoice date.			
This invoice is Monday through Sunday inclusive.			
Sales Tax (8.25%)			\$0.00
<b>Total</b>			<b>\$3,510.00</b>

Phone # 713-979-2388

Thank you for allowing us to earn your business.



### SEAL Security Solutions LLC

1525 Blalock Road  
Houston, TX 77080-7318  
www.SEALSecurity.com  
TX DPS Lic. #C15942

### Invoice

Date	Invoice #
1/20/2016	29128

**Bill To**

Hobby Area Management District  
9610 Long Point, Ste. 150  
Houston, TX 77055

**Camera Location**

East Haven Blvd  
Houston, TX 77075

**Terms**

Net 30

Description	Amount
Mobile Security Unit - Solar HD/IP Five (5) Camera System with Advanced Analytics	2,000.00
-2 Week Video Storage	
-Emergency Armed Response	
Discount	-500.00
Monitoring Period from 01/20/2016 through 02/19/2016	
Monitoring Invoices are billed every month for the entire month.	
This Invoice is billed in advance for the month.	
Sales Tax (8.25%) \$0.00	
<b>Total \$1500.00</b>	

Thank you for allowing us to earn your business.



**SEAL Security Solutions LLC**

1525 Blalock Road  
Houston, TX 77080-7318  
www.SEALSecurity.com  
713-979-2388  
TX DPS Lic. #C15942

**Invoice**

Date	Invoice #
2/1/2016	29186

<b>Bill To</b>
Hobby Area Management District 9610 Long Point, Ste. 150 Houston, TX 77055

<b>Camera Location</b>
6322 Telephone Rd. Houston, TX 77087

Description	Hours	Rate/ Hour	Terms
			Net 30
			Amount
Three Commissioned Officers with Vehicles and Occasional K-9 Patrol Period 01/25/2016 through 01/31/2016	134	27.00	3,618.00
Patrols are billed every Monday for the hours patrolled the week preceding the above invoice date.			
This invoice is Monday through Sunday inclusive.			
			Sales Tax (8.25%) \$0.00
<b>Thank you for allowing us to earn your business.</b>		<b>Total</b>	<b>\$3,618.00</b>



**SEAL Security Solutions LLC**

1525 Blalock Road  
Houston, TX 77080-7318  
www.SEALSecurity.com  
TX DPS Lic. # C15942

**Invoice**

Date	Invoice #
2/1/2016	29187

<b>Bill To</b>
Hobby Area Management District 9610 Long Point, Ste. 150 Houston, TX 77055

Description	Terms	
	Net 30	
		Amount
Mobile Security Unit - Standard "Plug and Play" System -3 Camera Systems -2 Week Video Storage -Emergency Armed Response		1,200.00
Discount		-200.00
Location: 6322 Telephone Rd., Houston, TX 77087		
Monitoring Period from 02/01/2016 through 02/29/2016		
Monitoring Invoices are billed at the beginning of every month for the entire month.		
The Invoice is billed in advance for the month.		
		Sales Tax (8.25%) \$0.00
<b>Total</b>		<b>\$1000.00</b>



**SEAL Security Solutions LLC**

1525 Blalock Road  
Houston, TX 77080-7318  
www.SEALSecurity.com  
TX DPS Lic. # C15942

**Invoice**

Date	Invoice #
2/1/2016	29188

<b>Bill To</b>
Hobby Area Management District 9610 Long Point, Ste. 150 Houston, TX 77055

<b>Camera Location</b>
Spring Hill Suites 7922 Mosley Rd. Houston, TX 77061

Description	Terms	
	Net 30	
		Amount
Mobile Security Unit - Standard "plug and Play" System -3 Camera Systems -2 Week Video Storage -Emergency Armed Response		1,200.00
Discount		-200.00
Location: Spring Hill Suites, 7922 Mosley Rd., Houston, TX 77061		
Monitoring Period from 02/01/2016 through 02/29/2016		
Monitoring Invoices are billed at the beginning of every month for the entire month.		
The Invoice is billed in advance for the month.		
		Sales Tax (8.25%) \$0.00
<b>Total</b>		<b>\$1000.00</b>



**SEAL Security Solutions LLC**

1525 Blalock Road  
Houston, TX 77080-7318  
www.SEALSecurity.com  
TX DPS Lic. # C15942

**Invoice**

Date	Invoice #
2/1/2016	29189

<b>Bill To</b>
Hobby Area Management District 9610 Long Point, Ste. 150 Houston, TX 77055

<b>Camera Location</b>
8700 - 8750 Broadway Street Houston, TX 77061

Description	Terms	
	Net 30	
		Amount
Mobile Security Unit - Superior Crime Deterrent Package -4 Cameras HD-TVI Systems -2 Week Video Storage -Emergency Armed Response		1,700.00
Discount		-400.00
Location: Vista Verde, 8700-8750 Broadway St., Houston, TX 77061		
Monitoring Period from 02/01/2016 through 02/29/2016		
Monitoring Invoices are billed at the beginning of every month for the entire month.		
The Invoice is billed in advance for the month.		
		Sales Tax (8.25%) \$0.00
<b>Total</b>		<b>\$1300.00</b>

Date	Invoice #
1/1/2016	763

**Bill To**  
Hobby Area Management District  
PO Box 22167  
Houston, TX 77227

P.O. No.	Terms	Project

Item	Description	Amount	Rate
Hobby Litter Abatement	Litter abatement of right-of-way for Hobby Area Management District January 2016 Litter Abatement Services	9,166.67	9,166.67
We appreciate your prompt payment.		<b>Total</b>	<b>59,166.67</b>

[smclogisticsworks.com](http://smclogisticsworks.com)

HAWES HILL CALDERON  
LLP



P.O. Box 22167  
Houston TX 77227-2167

Invoice

MD-Hobby Area Management District  
(HCID #9)  
P.O. Box 22167  
Houston, TX 77227

Invoice #: 43015291  
Date: 2/9/2016

DATE	DESCRIPTION	AMOUNT
	Professional consulting, administration and project management fee, January 2016	\$13,332.00
	GIS/mapping, P. Horton, Jan. 2016	\$74.85 ✓
	In-house postage, photocopies, binding, etc., January 2016	\$285.30 ✓
	Agenda posting, Harris County Clerk, 1/8/2016	\$9.00 ✓
	Board and committee meeting expenses, January 14, 2016	\$512.00 ✓
	Board and public meeting expense, January 20, 2016	\$925.26 ✓
	Verizon cell phone, J. Hawes, 12/20-01/19, 45% of shared costs	\$58.62
	Verizon cell phone, J. Hawes, 01/20-02/19, 45% of shared costs	\$58.71
	Verizon cell phone, M. Ramirez, 12/20-01/19	\$87.82
	Verizon cell phone, M. Ramirez, 01/20-02/19	\$90.20
	Reimbursable mileage, parking, tolls, and related expenses, as follows:	
	J. Lowry, January 2016	\$412.38
	G. Larson, December 2015	\$231.95
	E. Whitaker, January 2016	\$210.60
	L. Clayton, January 2016	\$25.92
	R. Hill, January 2016	\$45.36

926.2 ✓

Terms: C.O.D.

Sales Tax: \$0.00  
Total Amount: \$16,359.97  
Amount Applied: \$0.00  
Balance Due: \$16,359.97 ✓

Owed As Of: 2/9/2016

30 DAYS	90 DAYS	90+ DAYS	Total Owed
			\$16,359.97

HOBBY AREA MANAGEMENT DISTRICT  
(HARRIS COUNTY IMPROVEMENT DISTRICT NUMBER 9)  
CITY OF HOUSTON  
HARRIS COUNTY, TEXAS

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**AGENDA MEMORANDUM**

TO: Hobby Area Management District Board of Directors  
FROM: Executive Director  
SUBJECT: Agenda Item Materials

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6. Approve the Resolution Regarding the Annual Review of Investment Policy and List of Qualified Broker/Dealers.

CERTIFICATE FOR RESOLUTION

THE STATE OF TEXAS       §  
  §  
COUNTY OF HARRIS       §

I, the undersigned officer of the Board of Directors of Harris County Improvement District No. 9, hereby certify as follows:

1. The Board of Directors of Harris County Improvement District No. 9 convened in regular session on the 18th day of February, 2016, at the regular meeting place thereof, inside the boundaries of the District, and the roll was called of the duly constituted officers and members of the Board to-wit:

Danny R. Perkins	Chairman
Helen Bonsall	Vice Chair
Sue DeHaven	Secretary
Ann Collum	Director
Marjorie Evans	Director
Joe Edd Nelson	Director
Darryl Bailey	Director
Todd Szilagyi	Director
Vic Zachary	Director
Alberto P. Cardenas	Director

and all of said persons were present, except Director(s) \_\_\_\_\_, thus constituting a quorum. Whereupon, among other business, the following was transacted at the meeting: a written

**RESOLUTION REGARDING ANNUAL REVIEW OF  
INVESTMENT POLICY AND LIST OF QUALIFIED BROKER/DEALERS  
OF HARRIS COUNTY IMPROVEMENT DISTRICT NO. 9**

was introduced for the consideration of the Board. It was then duly moved and seconded that the Resolution be adopted, and, after due discussion, the motion, carrying with it the adoption of the Resolution, prevailed and carried unanimously.

2. That a true, full and correct copy of the aforesaid Resolution adopted at the meeting described in the above and foregoing paragraph is attached to and follows this certificate; that the Resolution has been duly recorded in the Board's minutes of the meeting; that the persons named in the above and foregoing paragraph are the duly chosen, qualified and acting officers and members of the Board as indicated therein; that each of the officers and members of the Board was duly and sufficiently notified officially and personally, in advance, of the time, place and purpose of the aforesaid meeting, and that the Resolution would be introduced and considered for adoption at the meeting, and each of the officers and members consented, in advance, to the holding of the meeting for such purpose; that the meeting was open to the public as required by law; and that public notice of the time, place and subject of the meeting was given as required by Chapter 551, Texas Government Code, and Section 49.063, Texas Water Code.

SIGNED AND SEALED the 18th day of February, 2016.

(Seal)

#5116559.1



\_\_\_\_\_  
Secretary, Board of Directors

**RESOLUTION REGARDING ANNUAL REVIEW OF  
INVESTMENT POLICY AND LIST OF QUALIFIED BROKER/DEALERS  
OF HARRIS COUNTY IMPROVEMENT DISTRICT NO. 9**

WHEREAS, Harris County Improvement District No. 9 (the "District"), has been legally created and operates pursuant to the general laws of the State applicable to municipal management districts; and

WHEREAS, the Public Funds Investment Act, Chapter 2256, Texas Government Code, as amended, requires the Board of Directors of the District (the "Board") to, at least annually, review its Investment Policy; and

WHEREAS, the Board has convened on this date at a meeting open to the public to conduct the District's annual review of its Investment Policy and wishes to confirm the Amended Investment Policy dated July 24, 2013;

WHEREAS, the District's Amended Investment Policy contains a list of qualified broker/dealers that are authorized to engage in investment transactions with the District; and

WHEREAS, the District desires to amend that portion of its investment policy containing a current list of qualified broker/dealers; Now, Therefore,

BE IT RESOLVED BY THE BOARD OF DIRECTORS OF HARRIS COUNTY IMPROVEMENT DISTRICT NO. 9 THAT:

Section 1: The Board hereby conducted the annual review of the District's Amended Investment Policy, dated July 24, 2013, attached hereto as Exhibit A and confirms that same shall remain in effect until modified by action of the Board.

Section 2: The Board hereby adopts the amended list of qualified broker/dealers, attached hereto as Exhibit B, and confirms that same shall be in effect until modified by action of the Board.

Section 3: The provisions of this Resolution shall be effective as of the date of adoption and shall remain in effect until modified by action of the Board.

PASSED AND APPROVED THIS 18<sup>th</sup> day of February 2016.

\_\_\_\_\_  
Chair

ATTEST:

\_\_\_\_\_  
Secretary





## EXHIBIT B

### LIST OF AUTHORIZED BROKER/DEALERS

<p>                     Allegiance Bank Texas                      Amegy Bank of Texas (Amegy Bank, N.A.)                      Bank of America Corporation                      Bank of America, N.A.                      Bank of Ozarks                      Bank of Texas (BOKF, NA)                      BBVA Compass Bank                      Beal Bank                      Capital Bank, N.A.                      Capital One, N.A.                      Capital Markets Group, Inc.                      Central Bank                      Chase Investments Services Corp.                      Chasewood Bank                      Coastal Securities Inc.                      Comerica Bank                      Commercial State Bank                      CommunityBank of Texas, N.A.                      Edward Jones                      Encore Bank, N.A.                      Enterprise Bank and Trust Company                      FirstBank &amp; Trust                      First Bank of Conroe                      First Bank Texas                      First Choice Bank                      First Citizens Bank                      First Community Bank, N.A.                      First National Bank of Bastrop                      First National Bank Texas                      First Southwest                      First Texas Bank                      Fiserve, Inc.                      Frost Bank                      Green Bank, N.A.                      Herring Bank                      Hilltop Securities                      HomeTown Bank, N.A.                      Independent Bank                      International Bank of Commerce                      JPMorgan Chase &amp; Co.                      JPMorgan Chase Bank, N.A.                      J.P. Morgan Securities LLC                      Legacy Texas Bank                      Legg Mason                      LOGIC (Local Government Investment Cooperative)                      Lone Star Bank                      Lone Star Investment Pool                      LPL Financial Services                      Memorial City Bank                      Merchants Bank                 </p>	<p>                     Midkiff &amp; Stone Capital Group, Inc.                      MidSouth Bancorp, Inc.                      Moody National Bank                      Morgan Stanley                      Morgan Stanley Wealth Management                      New First National Bank                      Patriot Bank                      Plains State Bank                      Post Oak Bank                      Preferred Bank                      Prosperity Bank                      Prudential Equity Group                      Raymond James &amp; Associates, Inc.                      RBC Wealth Management USA                      Regions Bank                      Regions Financial Corporation                      Security State Bank                      Southwest Securities, Inc.                      State Bank of Texas                      State Street Bank &amp; Trust Co.                      Sterling Bancshares, Inc.                      TexSTAR                      Texas Capital Bank, National Association                      Texas Citizens Bank                      Texas CLASS                      Texas Community Bank                      Texas First Bank                      TIB – The Independent BankersBank                      TexPool/TexPool Prime                      The Bank of River Oaks                      Tri Star Financial                      Trustmark National Bank                      U.S. Bank National Association                      UBS Financial Services, Inc.                      Unity National Bank                      Wallis State Bank                      Wells Fargo Advisors, LLC                      Wells Fargo Bank, N.A.                      Westbound Bank                      Whitney National Bank                      Woodforest National Bank                 </p>
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EXHIBIT A

AMENDED INVESTMENT POLICY – July 24, 2013

## INVESTMENT POLICY

This Investment Policy (the "Policy") is adopted by the Board of Directors of Harris County Improvement District No. 9 (the "District") pursuant to Chapter 2256 of the Texas Government Code, effective as of July 24, 2013.

### ARTICLE I PURPOSE

Section 1.01. Purpose. This Policy with respect to District investments has been adopted to establish the principles and criteria by which the funds of the District should be invested and secured and to comply with various provisions of Texas law relating to the investment and security of funds of local government corporations (the "Investment Laws"). As of the date of the adoption of this Policy, the following laws are applicable to the investment of the District's funds: Chapter 2256, Texas Government Code; Chapter 791, Texas Government Code; Chapter 2257, Texas Government Code; and Section 404.101 et seq., Texas Government Code. The Investment Laws generally provide the minimum criteria for the authorized investment and security of the District's funds and require the District to adopt rules to ensure the investment of District funds in accordance with such laws. This Policy will specify the scope of district of District Officials who are responsible for the investment of District funds.

### ARTICLE II DEFINITIONS

Section 2.01. Definitions. Unless the context requires otherwise, the following terms and phrases used in this Policy shall mean the following:

- (a) "Authorized Collateral" means any means or method of securing the deposit of District funds authorized by Chapter 2257, Texas Government Code.
- (b) "Authorized Investment" means any security in which the District is authorized to invest under Chapter 2256, Texas Government Code.
- (c) "Board" means the Board of Directors of the District.
- (d) "Collateral" means any means or method of securing the deposit of District funds under Article IV hereof.
- (e) "Collateral Act" means Chapter 2257, Texas Government Code, as amended from time to time.
- (f) "Director" means a person appointed to serve on the Board of Directors of the District.
- (g) "District Officials" means the Investment Officer, Directors, Employees, and persons and business entities engaged in handling the investment of District funds.

- (h) "Employee" means any person employed by the District, but does not include independent contractors or professionals hired by the District as outside consultants, such as the District's financial advisor, accountant or general counsel.
- (i) "FDIC" means the Federal Deposit Insurance Corporation or any successor entity.
- (j) "Investment Act" means Chapter 2256, Texas Government Code, as amended from time to time.
- (k) "Investment Officer(s)" means the Director(s) or Employee(s) of the District appointed from time to time by the Board to invest and reinvest the funds of the District held in its various accounts
- (l) "State" means the State of Texas.

### ARTICLE III INVESTMENT OFFICER

#### Section 3.01. Investment Officer.

From time to time, the District shall appoint one or more of its Directors or Employees to serve as Investment Officer(s) to handle the investment of District funds. The Investment Officer(s) shall be responsible for investing District funds in accordance with this Policy. The Investment Officer(s) shall invest the District's funds, not for speculation, but for investment, considering the probable safety of capital and the probable income to be derived, with all investment decisions to be governed by the objectives set forth in Section 7.01 hereof.

Section 3.02. Training. The Investment Officer(s) shall attend training sessions and receive the number of hours of instruction as required by the Investment Act.

Section 3.03. Reporting by the Investment Officer and District Officials. Not less than quarterly and within a reasonable time after the end of the period reported, the Investment Officer and District Officials shall prepare and submit to the Board a written report of the investment transactions for all funds of the District for the preceding reporting period. The report must (1) describe in detail the investment position of the District on the date of the report, (2) be prepared jointly by all the Investment Officers of the District, if the District appoints more than one, (3) be signed by all Investment Officers and District Officials who prepare the report, (4) contain a summary statement of each pooled fund group that states the beginning market value for the reporting period; ending market value for the period; and fully accrued interest for the reporting period; (5) state the book value and the market value of each separately invested asset at the beginning and end of the reporting period by the type of asset and fund type invested, (6) state the maturity date of each separately invested asset that has a maturity date, (7) state the District fund for which each individual investment was acquired, and (8) state the compliance of the investment portfolio as it relates to this Policy and the Investment Act.

Section 3.04. Assistance with Certain Duties of the Investment Officer. The Board hereby authorizes and directs the District Officials requested by the Investment Officer to assist the Investment Officer(s) with any of his/her duties, including but not limited to the following:

- (a) Presenting a copy of this Policy to any person or business organization seeking to sell an investment to the District and obtaining the necessary written certification from such seller referred to in this section;
- (b) Handling investment transactions;
- (c) Preparing and submitting to the Board the written report of all investment transactions for the District as required by this section;
- (d) Researching investment options and opportunities;
- (e) Obtaining written depository pledge agreements as required herein;
- (f) Obtaining safe-keeping receipts from the Texas financial institution which serves as a depository for pledged Collateral; and
- (g) Reviewing the market value of the District's investments and of the Collateral pledged to secure the District's funds.

#### **ARTICLE IV PROCEDURES FOR INVESTMENT OF DISTRICT MONIES**

Section 4.01. Qualified Broker/Dealers. The list of qualified broker/dealers with whom the District may engage in investment transactions is attached hereto as **Exhibit A**.

Section 4.02. Disclosures of Relationships with Entities Offering to Enter into Investment Transactions with the District. The Investment Officer(s) and the District Officials shall disclose in writing (a) any "personal business relationship" with a business organization offering to engage in an investment transaction with the District and (b) any relationship within the second degree by affinity or consanguinity, as determined by Chapter 573, Texas Government Code, to any individual seeking to sell an investment to the District, as required by the Investment Act. The existence of a "personal business relationship" shall be determined in accordance with the Investment Act. Such disclosure statement shall be filed with the Board and the Texas Ethics Commission.

Section 4.03. Certifications from Sellers of Investments. The Investment Officer(s) or the District Officials shall present this Policy to any person or business organization offering to engage in an investment transaction with the District and obtain a certificate stating that such potential seller has reviewed the Policy as provided in the Investment Act. This certificate shall be in a form acceptable to the District and shall state that the potential seller has received and reviewed the Policy and has acknowledged that the potential seller has implemented reasonable procedures and controls in an effort to preclude investment transactions with the District that are not authorized by this Policy, except to the extent that this authorization is dependent on an analysis of the makeup of the District's entire portfolio or requires an interpretation of subjective investment standards. Neither the Investment Officer nor the District Officials shall purchase or make any investment from a potential seller that has not delivered to the District this required certification. A form of certificate acceptable to the District is attached hereto as **Exhibit B**.

Section 4.04. Solicitation of Bids for Certificates of Deposit. Bids for certificates of deposit may be solicited orally, in writing, electronically or in any combination of those methods.

Section 4.05. Settlement Basis. All purchases of investments, except investment in investment pools or in mutual funds, shall be made on a delivery versus payment basis. The safekeeping entity for all District investments and for all Collateral pledged to secure District funds shall be one approved by the Investment Officer(s).

Section 4.06. Monitoring of the Market Value of Investments and Collateral. The Investment Officer(s), with the help of such District Officials as needed, shall determine the market value of each investment and of all Collateral pledged to secure deposits of District funds at least quarterly and at a time as close as practicable to the closing of the reporting period for investments. Such values shall be included on the investment report. The following methods shall be used:

- (a) Certificates of deposit shall be valued at their face value plus any accrued but unpaid interest.
- (b) Shares in money market mutual funds and investment pools shall be valued at par plus any accrued but unpaid interest.
- (c) Other investment securities with a remaining maturity of one year or less may be valued in any of the following ways:
  - (1) the lower of two bids obtained from securities broker/dealers for such security;
  - (2) the average of the bid and asked prices for such investment security as published in The Wall Street Journal or The New York Times;
  - (3) the bid price published by any nationally recognized security pricing service; or
  - (4) the market value quoted by the seller of the security or the owner of such Collateral.
- (d) Other investment securities with a remaining maturity greater than one year shall be valued at the lower of two bids obtained from securities broker/dealers for such security, unless two bids are not available, in which case the securities may be valued in any manner provided in 4.06(c) hereof.

Section 4.07. Monitoring the Rating Changes in Investments. Consistent with Section 2256.021, Texas Government Code, as amended, the Investment Officer shall monitor all investments that require a minimum rating under subchapter A of Chapter 2256, Texas Government Code, as amended, such that any such investment that does not have the minimum rating shall no longer constitute an authorized investment. Such investments that do not have the

required minimum rating shall be liquidated within 30 days of the investment's failure to maintain its required minimum rating.

**ARTICLE V**  
**PROVISIONS APPLICABLE TO ALL FUNDS**

**Section 5.01. Provisions Applicable to All Fund Groups.**

- (a) All funds of the District shall be invested only in accordance with this Policy and shall comply with any additional requirements imposed by bond resolutions or trust indentures of the District and applicable state law or federal tax law, including the Investment Laws.
- (b) The Board, by separate resolution, may provide that a designated officer or agent of the District may withdraw or transfer funds from and to accounts of the District only in compliance with this Policy.
- (c) No fund groups shall be pooled for the purposes of investment, e.g. the funds in the Operating Account and in the Project Account shall not be commingled or pooled for purposes of investment.

**Section 5.02. Policy of Securing Deposits of District Funds -- Applicable to All Deposited District Funds.**

- (a) The District recognizes that FDIC (or its successor) insurance is available for District funds deposited at any one Texas Financial Institution (including branch banks) only up to a maximum of \$250,000 (including accrued interest) for each of the following: (i) demand deposits, (ii) time and savings deposits, and (iii) deposits made pursuant to an indenture or pursuant to law in order to pay bondholders or noteholders.<sup>1</sup> It is the policy of the District that all deposited funds in each of the District's accounts shall be insured by the FDIC, or its successor, and to the extent the deposit surpasses the FDIC Deposit Insurance Coverage limit at any given time, shall be secured by Collateral pledged to the extent of the fair market value of the principal amount deposited plus accrued interest as required by the Collateral Act.
- (b) If it is necessary for the District's depositories to pledge Collateral to secure the District's deposits, (1) the Collateral pledge agreement must be in writing, (2) the Collateral pledge agreement must be approved by the depository's board of directors or loan committee, (3) the depository's approval of the Collateral pledge agreement must be reflected in the minutes of the meeting of the depository's board or loan committee approving same, and (4) the Collateral pledge agreement must be kept in the official records of the depository. The depository must provide to the Investment Officer or District Officials with written proof of the depository's approval of the pledge agreement as required herein in a form acceptable to the District. A signed or certified copy of the minutes of the

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<sup>1</sup> The \$250,000 limit is temporary and may change from time to time under applicable law.

meeting of the depository's board or loan committee reflecting the approval of the Collateral pledge agreement or other written documentation of such approval acceptable to the Investment Officer will be accepted. It is the preference of the Board that all requirements of this section be met prior to the deposit of any District funds in such financial institution when a pledge of Collateral is required; however, the Board recognizes that compliance with this preference might not be practicable due to time constraints for making a deposit. In such event, the Board directs the Investment Officer and District Officials to proceed diligently to have such agreement approved and documented to assure protection of the District's funds. If the decision is made to forego the protection of a Collateral pledge agreement with any depository, the District's Executive Director shall be responsible for maintaining the balance of deposit(s) in such depository plus any accrued but unpaid interest at or below FDIC insurance levels.

- (c) Collateral pledged by a depository shall be held in safekeeping at an independent third party institution, and the District's Executive Director shall obtain safekeeping receipts from the Texas financial institution or the safekeeping institution that reflect that Collateral as allowed by this Investment Policy and in the amount required was pledged to the District. Principal and accrued interest on deposits in a financial institution shall not exceed the FDIC's, or its successor's, insurance limits or the market value of the Collateral pledged as security for the District's deposits. It shall be acceptable for the District's Executive Director to periodically receive interest on deposits to be deposited to the credit of the District if needed to keep the amount of the funds under the insurance or Collateral limits. It is the preference of this Board that there be no sharing, splitting or cotenancy of Collateral with other secured parties or entities; however, in the event that a depository cannot accommodate this preference due to the denominations of the securities to be pledged, the Board directs the Investment Officer and District Officials to obtain appropriate protections in the pledge agreement with the depository to assure that the Collateral is liquidated and the funds distributed appropriately to all parties with a security interest in such Collateral. The District's Executive Director shall monitor the pledged Collateral to assure that it is pledged only to the District, review the fair market value of the Collateral to ensure that the District's funds are fully secured, and report periodically to the Investment Officer and the Board regarding the Collateral.
- (d) The District's funds deposited in any Texas financial institution, to the extent that they are not insured, may be secured by the pledge of any of the following:
  - (1) Surety bonds;
  - (2) An obligation that in the opinion of the Attorney General of the United States is a general obligation of the United States and backed by its full faith and credit;
  - (3) A general or special obligation that is (a) payable from taxes, revenues, or a combination of taxes and revenues and (b) issued by a state or political



or governmental entity, agency, instrumentality or subdivision of the state, including a municipality, an institution of higher education as defined by Section 61.003, Texas Education Code, a junior college, a district created under Article XVI, Section 59, of the Texas Constitution, and a public hospital;

- (4) A fixed-rate collateralized mortgage obligation that has an expected weighted average life of 10 years or less and does not constitute a "high-risk mortgage security" under the Collateral Act;
- (5) A floating-rate collateralized mortgage obligation that does not constitute a "high-risk mortgage security" under the Collateral Act;
- (6) A letter of credit issued by a federal home loan bank; or
- (7) A security in which a public entity may invest under the Investment Act. As of the date of this Policy, the following are the securities in which a public entity may invest under the Investment Act and, therefore, may be used as Collateral:
  - (i) Obligations, including letters of credit, of the United States or its agencies and instrumentalities;
  - (ii) Direct obligations of the State of Texas or its agencies and instrumentalities;
  - (iii) Collateralized mortgage obligations directly issued by a federal agency or instrumentality or the United States, the underlying security for which is guaranteed by an agency or instrumentality of the United States;
  - (iv) Other obligations, the principal and interest of which are unconditionally guaranteed or insured by or backed by the full faith and credit of the United States or the State of Texas or their respective agencies and instrumentalities, including obligations that are fully guaranteed or insured by the Federal Deposit Insurance Corporation or by the explicit full faith and credit of the United States;
  - (v) Obligations of states, agencies, counties, cities, and other political subdivisions of any state rated as to investment quality by a nationally recognized investment rating firm not less than A or its equivalent;
  - (vi) Certificates of deposit issued by a depository institution that has its main office or a branch office in the State of Texas that are (1) guaranteed by the Federal Deposit Insurance Corporation or its successor or the National Credit Union Share Insurance Fund or its

successor, (2) secured by the obligations in which the District may invest under the Investment Act, or (3) secured in any other manner and amount provided by law for deposits of the District;

(vii) Certificates of deposit made in accordance with the following conditions: (1) a broker that has its main office or a branch office in this state and is selected from a list adopted by the District; (2) the funds are invested by the District through a depository institution that has its main office or a branch office in the State of Texas and that is selected by the District; (3) the broker or the depository institution selected by the District under Subdivision (2) arranges for the deposit of the funds in certificates of deposit in one or more federally insured depository institutions, wherever located, for the account of the District; (4) the full amount of the principal and accrued interest of each of the certificates of deposit is insured by the United States or an instrumentality of the United States; and (5) the depository institution selected by the District under Subdivision (2), an entity described by Section 2257.041(d), or a clearing broker dealer registered with the Securities and Exchange Commission and operating pursuant to Security and Exchange Commission Rule 15c3-3 (17C.F.R. Section 240.15c3-3) as custodian for the District with respect to the certificates of deposit issued for the account of the District;

(viii) Repurchase agreements that comply with the Investment Act;

(ix) Bankers' acceptances that comply with the Investment Act;

(x) Commercial paper that complies with the Investment Act;

(xi) No-load money market mutual funds that comply with the Investment Act;

(xii) No-load mutual funds that comply with the Investment Act; and

(xiii) Guaranteed investment contracts that comply with the Investment Act.

(e) Notwithstanding anything to the contrary provided above, the following may not be used as Collateral and are not authorized as investments for the District under the Investment Act:

(1) Obligations whose payment represents the coupon payments on the outstanding principal balance of the underlying mortgage-backed security collateral and pays no principal;

- (2) Obligations whose payment represents the principal stream of cash flow from the underlying mortgage-backed security collateral and bears no interest;
- (3) Collateralized mortgage obligations that have a final stated maturity date of greater than 10 years other than those listed in Sections 5.02(d)(4) and 5.02(d)(5) above; or
- (4) Collateralized mortgage obligations the interest rate of which is determined by an index that adjusts opposite to the changes in a market index.

Section 5.03. Diversification. The Investment Officer may invest up to 100% of the funds of the District in any investment instrument authorized in this Policy.

## ARTICLE VI AUTHORIZED INVESTMENTS

Section 6.01. Authorized Investments. Unless specifically prohibited by law or elsewhere by this Policy, District funds may be invested and reinvested only in the following types of investments:

- (a) Obligations, including letters of credit, of the United States or its agencies and instrumentalities;
- (b) Direct obligations of the State or its agencies and instrumentalities;
- (c) Collateralized mortgage obligations directly issued by a federal agency or instrumentality of the United States, the underlying security for which is guaranteed by an agency or instrumentality of the United States;
- (d) Other obligations, the principal and interest of which are unconditionally guaranteed or insured by or backed by the full faith and credit of the State or the United States or their respective agencies and instrumentalities;
- (e) Obligations of states, agencies, counties, cities, and other political subdivisions of any state rated as to investment quality by a nationally recognized investment rating firm not less than A or its equivalent;
- (f) Certificates of deposit issued by a depository institution that has its main office or a branch office in the State of Texas that are (1) guaranteed by the Federal Deposit Insurance Corporation or its successor or the National Credit Union Share Insurance Fund or its successor, (2) secured by the obligations in which the District may invest under the Investment Act, or (3) secured in any other manner and amount provided by law for deposits of the District;
- (g) Certificates of deposit made in accordance with the following conditions: (1) a broker that has its main office or a branch office in this state and is selected from

a list adopted by the District; (2) the funds are invested by the District through a depository institution that has its main office or a branch office in the State of Texas and that is selected by the District; (3) the broker or the depository institution selected by the District under Subdivision (4) arranges for the deposit of the funds in certificates of deposit in one or more federally insured depository institutions, wherever located, for the account of the District; (5) the full amount of the principal and accrued interest of each of the certificates of deposit is insured by the United States or an instrumentality of the United States; and (6) the depository institution selected by the District under Subdivision (1), an entity described by Section 2257.041(d), or a clearing broker dealer registered with the Securities and Exchange Commission and operating pursuant to Security and Exchange Commission Rule 15c3-3 (17C.F.R. Section 240.15c3-3) as custodian for the District with respect to the certificates of deposit issued for the account of the District;

- (h) Repurchase agreements that comply with the Investment Act;
- (i) Bankers' acceptances that comply with the Investment Act;
- (j) Commercial paper that complies with the Investment Act;
- (k) No-load money market mutual funds that comply with the Investment Act;
- (l) No-load mutual funds that comply with the Investment Act;
- (m) Investment Pools which meet the requirements set forth in Section 2256.016 and Section 2256.019 of the Texas Government Code, as amended, and which are specifically authorized by a resolution that is approved by the Board; and
- (n) With respect to bond proceeds, guaranteed investment contracts that comply with the Investment Act.

Section 6.02. Prohibited Investments. Notwithstanding anything to the contrary stated herein, no funds of the District may be invested in the following or in any other type of investment prohibited by the Investment Act or other applicable law:

- (a) Obligations whose payment represents the coupon payments on the outstanding principal balance of the underlying mortgage-backed security collateral and pays no principal (IO's);
- (b) Obligations whose payment represents the principal stream of cash flow from the underlying mortgage-backed security collateral and bears no interest (PO's);
- (c) Collateralized mortgage obligations that have a stated final maturity date of greater than 10 years; and

- (d) Collateralized mortgage obligations the interest rate of which are determined by an index that adjusts opposite to the changes in the market index (inverse floaters).

Section 6.03. Investment of Funds Held Under Trust Indentures. Anything in this Policy to the contrary notwithstanding, to the extent that any funds are held by a trustee under a trust indenture relating to the District's bonds, such funds may be invested as provided by the resolution authorizing the issuance of the bonds or the trust indenture.

## ARTICLE VII INVESTMENT STRATEGIES

Section 7.01. Strategy Applicable to All Funds. The District's general investment strategy for all fund groups shall be to invest such monies from such fund groups so as to accomplish the following objectives, which are listed in the order of importance:

- (a) Understanding of the suitability of the investment to the financial requirements of the District;
- (b) Preservation and safety of principal;
- (c) Liquidity;
- (d) Marketability of the investment if the need arises to liquidate the investment before maturity;
- (e) Diversification of the investment portfolio; and
- (f) Yield.

Section 7.02. Investment Strategy for the Operating Account. Funds in the Operating Account shall be invested to meet the operating and cash flow requirements of the District as determined by the annual operating budget adopted by the Board. Operating funds shall not be invested for longer than three years.

## ARTICLE VIII MISCELLANEOUS

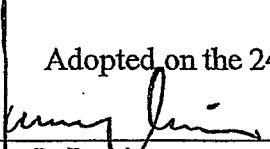
Section 8.01. Annual Review. The District shall review this Investment Policy at least annually and adopt a resolution confirming the continuance of the Investment Policy without amendment or adopt an Amended Investment Policy.


Section 8.02. Superseding Clause. This Policy supersedes any prior policies adopted by the Board of Directors regarding investment or securitization of District funds.

Section 8.03. Open Meeting. The Board officially finds, determines and declares that this Policy was reviewed, carefully considered, and adopted at a regular meeting of the Board, and that a sufficient written notice of the date, hour, place and subject of this meeting was posted at a place readily accessible and convenient to the public within the District and on a bulletin board located at a place convenient to the public in the City of Houston, Texas for the time required by law preceding this meeting, as required by the Open Meetings Act, Chapter 551, Texas Government Code, and that this meeting had been open to the public as required by law at all times during which this Policy was discussed, considered and acted upon. The Board further ratifies, approves and confirms such written notice and the contents and posting thereof.

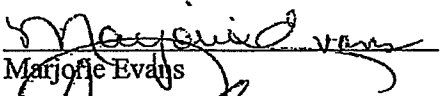
*[Execution page follows]*

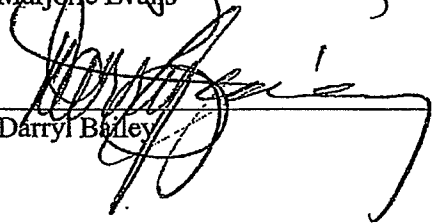
Adopted on the 24<sup>th</sup> of July 2013.

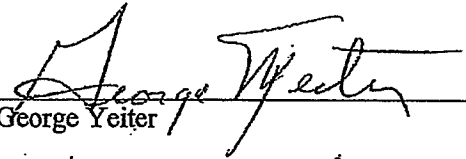
  
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Danny R. Perkins

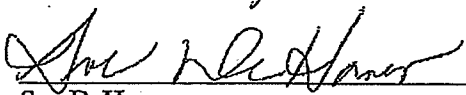
  
\_\_\_\_\_  
Helen Bonsall

  
\_\_\_\_\_  
Ann Collum

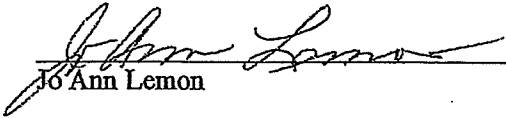
  
\_\_\_\_\_  
Marjorie Evans

  
\_\_\_\_\_  
Darryl Bailey

  
\_\_\_\_\_  
George Yeiter

  
\_\_\_\_\_  
Sue DeHaven

  
\_\_\_\_\_  
Mary Case

  
\_\_\_\_\_  
Jo Ann Lemon

## EXHIBIT A

### List of Qualified Broker/Dealers

Allegiance Bank of Texas	Metro Bank, N.A.
Trustmark National Bank	Midkiff & Stone Capital Group, Inc.
Amegy Bank, N.A.	MidSouth Bancorp, Inc.
Bank of America, N.A.	Moody National Bank
Bank of Houston	Morgan Keegan & Co., Inc.
Bank of Texas, N.A.	Morgan Stanley
BBVA Compass Bank	New First National Bank
Beal Bank, s.s.b.	Northwest Investment Services, Inc.
Capital Bank	Omni Bank, N.A.
Capital Markets Group, Inc.	Patriot Bank
Capital One Financial Corp.	Plains State Bank
Central Bank	Post Oak Bank
Chase Investments Services Corp.	Preferred Bank
Chasewood Bank (Inc)	Prosperity Bank/Prosperity Bancshares, Inc.
Coastal Securities, Inc.	Prudential Securities Group, Inc.
Comerica Bank	Raymond James & Associates, Inc.
Commercial State Bank	RBC Capital Markets
Community State Bank	Regions Bank
Crosby State Bank	Morgan Stanley Smith Barney
Edward Jones	Security State Bank
Encore Bank	Southwest Securities, Inc.
Enterprise Bank	State Bank of Texas
First Bank	State Street Bank & Trust Co.
First Bank of Conroe	Sterling Bank/Sterling Bancshares, Inc.
First Bank of Texas	Sun America Securities, Inc.
First Bank & Trust Company (Inc)	Tex Star Investment Pool
First Choice Bank	Texas Capital Bank, N.A.
First Community Bank	Texas CLASS
First National Bank Bastrop	Texas Citizens Bank
First Southwest Company	Texas Community Bank
First National Bank	Texas First Bank
First National Bank of Texas	Texas Independent Bank
FiServ Investor Services, Inc.	Texas Savings Bank, s.s.b.
Frost Bank	Texas State Bank



Green Bank  
Herring National Bank  
Hometown Bank, N.A.  
Houston Community Bank, N.A.  
International Bank of Commerce  
Ironstone Bank  
JP Morgan Chase Bank, N.A.  
JP Morgan Securities Inc.  
Legacy Texas Bank  
Legg Mason  
LOGIC (Local Gov't. Investment Cooperative)  
Lone Star Bank, s.s.b.  
Lone Star Investment Pool  
LPL Financial Services  
Main Street Bank  
Memorial City Bank  
Merchants Bank, N.A.  
Merrill Lynch & Co., Inc.  
Texpool/Texpool Prime  
The Bank of River Oaks  
Tradition Bank  
Tri-Star Financial  
Trustmark National Bank  
UBS Financial Services, Inc.  
Union Planters Bank  
Unity National Bank  
Wachovia Bank, N.A.  
Wallis State Bank  
Wells Fargo Bank, N.A.  
Wells Fargo Brokerage Services, LLC  
Westbound Bank  
Whitney National Bank  
Woodforest National Bank

**EXHIBIT B**

**CERTIFICATE OF COMPLIANCE FROM SELLERS OF  
INVESTMENTS AS REQUIRED BY THE PUBLIC FUNDS INVESTMENT ACT**

To: Harris County Improvement District No. 9 (the "District")

From: \_\_\_\_\_  
[Name of the person offering or the "qualified representative of the business organization" offering to engage in an investment transaction with the District] [Office such person holds]

of \_\_\_\_\_ (the "Business Organization")  
[name of financial institution, business organization or investment pool]

Date: \_\_\_\_\_, 20\_\_

In accordance with the provisions of Chapter 2256 of the Texas Government Code, I hereby certify that:

1. I am an individual offering to enter into an investment transaction with the District or a "qualified representative" of the Business Organization offering to enter an investment transaction with the District, as applicable, as such terms are used in the Public Funds Investment Act, Chapter 2256, Texas Government Code, and that I meet all requirements under such act to sign this Certificate.
2. I or the Business Organization, as applicable, anticipate selling to the District investments that comply with the District's Investment Policy and the Investment Act (collectively referred to herein as the "Investments") dated \_\_\_\_\_ (the "Investment Policy").
3. I or a registered investment professional that services the District's account, as applicable, have received and reviewed the Investment Policy, which the District has represented is the complete Investment Policy of the District now in full force and effect. The District has further acknowledged that I or the Business Organization, as applicable, may rely upon the Investment Policy until the District provides me or the Business Organization, as applicable, with any amendments to or any newly adopted form of the Investment Policy.
4. I or the Business Organization, as applicable, have/has implemented reasonable procedures and controls in an effort to preclude investment transactions between the District and me or the Business Organization, as applicable, that are not authorized by the Investment Policy, except to the extent that this authorization is dependent upon an analysis of the District's entire portfolio or requires an interpretation of subjective investment standards.

5. I or the Business Organization, as applicable, have/has reviewed or will review prior to sale, the terms, conditions and characteristics of the investments to be sold to the District and determined (i) that each of the Investments is an authorized investment for local governments under the Investment Act and (ii) each of the Investments is an authorized investment under the Investment Policy. The Business Organization makes no representation as to whether any limits on the amount of District monies to be invested in the Investments exceeds or in any way violates the Investment Policy.
6. The Business Organization makes no representations or guarantees regarding the prudence, reasonableness or adequacy of the Investment Policy.
7. The Business Organization has attached hereto, for return to the District, or will provide a prospectus or disclosure document for each of the Investments other than certificates of deposit and direct obligations of the United States.

By: \_\_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_

HOBBY AREA MANAGEMENT DISTRICT  
(HARRIS COUNTY IMPROVEMENT DISTRICT NUMBER 9)  
CITY OF HOUSTON  
HARRIS COUNTY, TEXAS

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**AGENDA MEMORANDUM**

TO: Hobby Area Management District Board of Directors  
FROM: Executive Director  
SUBJECT: Agenda Item Materials

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8. Receive a report on House Bill 1295 implementation.

HOBBY AREA MANAGEMENT DISTRICT  
(HARRIS COUNTY IMPROVEMENT DISTRICT NUMBER 9)  
CITY OF HOUSTON  
HARRIS COUNTY, TEXAS

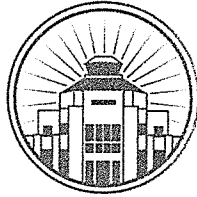
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**AGENDA MEMORANDUM**

TO: Hobby Area Management District Board of Directors  
FROM: Executive Director  
SUBJECT: Agenda Item Materials

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9. Receive an update from the Environmental, Urban Design, and Mobility Committee.
  - Consider recommendations from the Committee for approval of 12 locations for the District's Mini Mural project



MEMORANDUM

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TO: Hobby Area Management District Board of Directors  
FROM: David Hawes  
DATE: February 18, 2016  
SUBJECT: Environmental, Urban Design and Mobility Committee

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The Hobby Area Management District Environmental, Urban Design and Mobility Committee held a meeting on January 20, 2016 at 10:00am at the Doubletree Hilton Hobby – 8181 Airport Blvd, 77061.

District Board and Committee members present: Danny Perkins, Ann Collum, Jo An Lemon, and Joe Ed Nelson.

Staff member present: Jerry Lowry, Eoles B. Whitaker, Tony Allender, Gretchen Larson, and Mario Ramirez.

Public Present: Connie Nelson, Julie Levine, Carol Fullerton, and Holli Lubelski.

**THE COMMITTEE CONSIDERED THE FOLLOWING:**

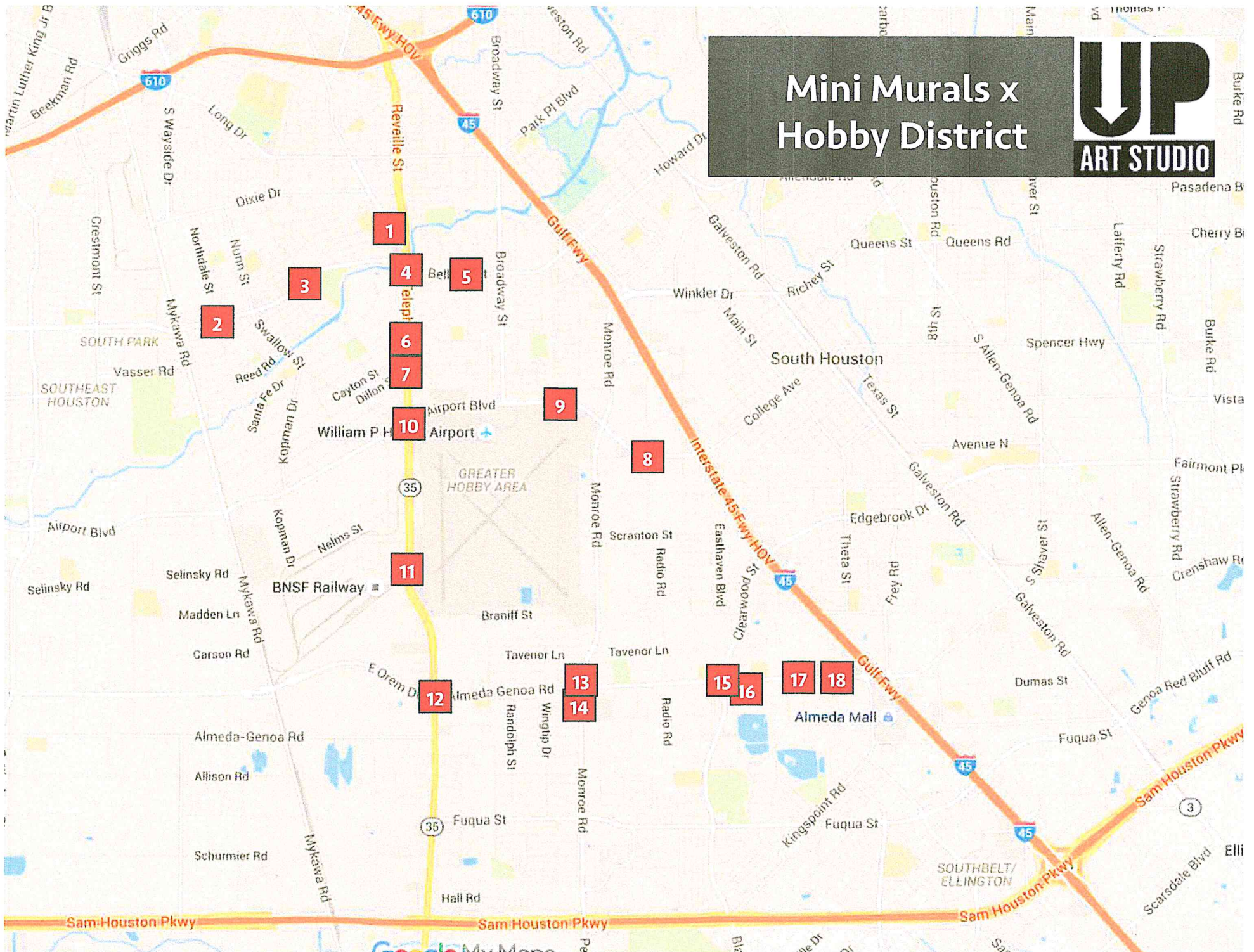
1. Receive update on Broadway Enhancement project.
  - a. The construction team working on Broadway submitted a 53-day change order request, that was approved by PWE, moving the project completion date to June.
  - b. Discussion of coordinating with PWE, the construction team, and the District to mitigate starting the beautification project while the construction project is still underway.
  - c. Broadway construction project is at 63 percent completion; the project is currently 19 percent behind percent of completion projections.
2. Discuss Livable Centers Study.
  - a. Draft Existing Condition Assessment has been completed and will be presented to the special projects committee.
  - b. There have been proposed amendments to the scope of work by the selected consulting team.
3. Discuss Mini Mural Project locations.
  - a. A photo logged map of mini mural locations were provided to the committee for discussion and determination of sites.
  - b. Discussion of artist selection began and was tabled for the next committee meeting to allow committee members greater time to evaluate and discuss artist options.

4. Discuss Environmental, Urban Design and Mobility projects in the District.

**COMMITTEE RECOMMENDATIONS:**

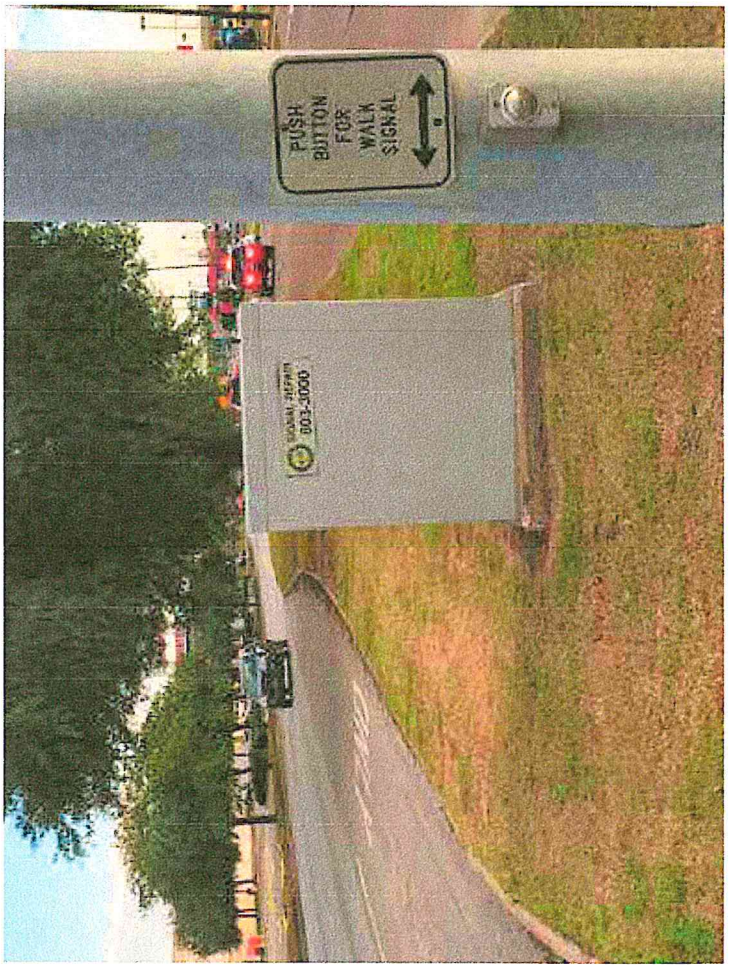
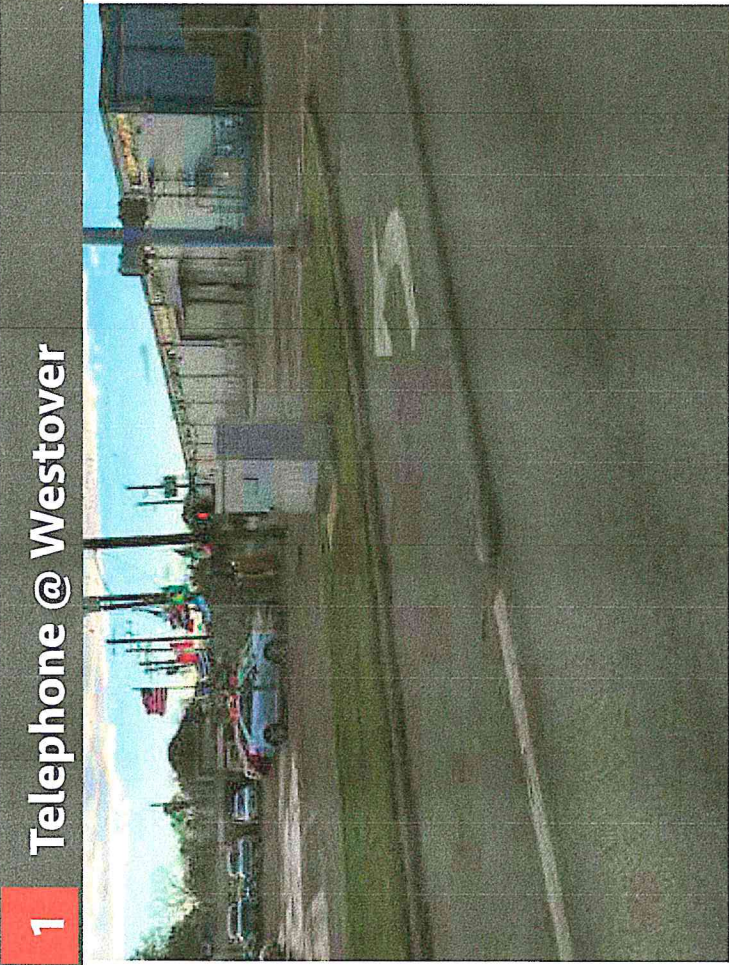
Committee recommends approving 12 sites for Mini Mural project.

# Mini Murals x Hobby District





1 Telephone @ Westover



- Notes:**
- Northwest Esplanade

## 2 Bellfort @ Northdale



### Notes:

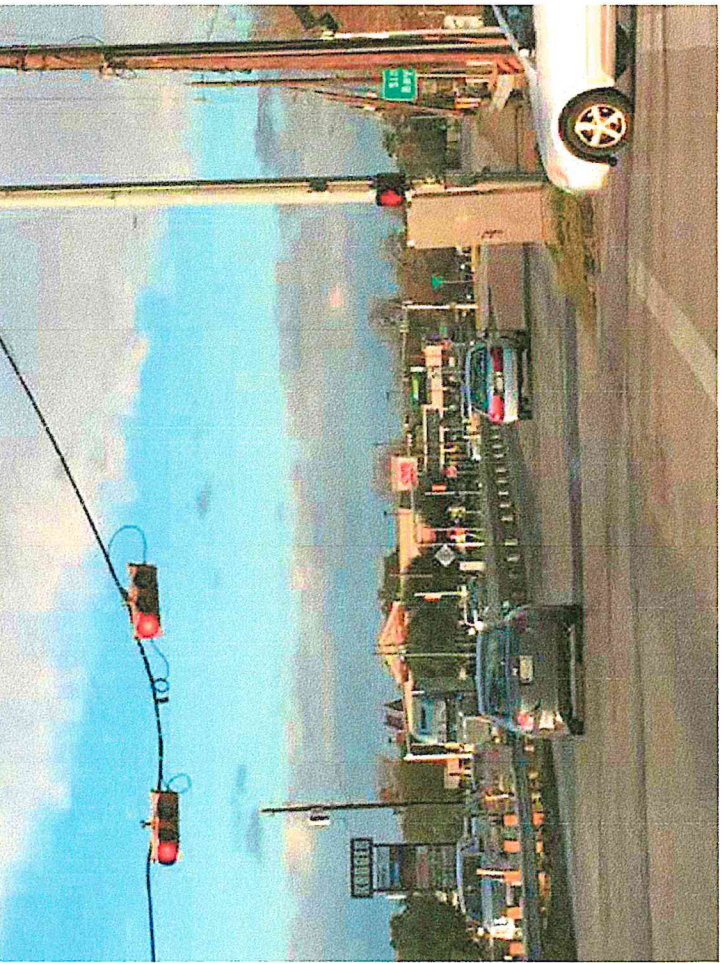
- Southwest Middle Esplanade
- High Traffic Area



**Notes:**

- Southwest Corner
- High Traffic Area
- Hartman Middle School

4 Bellfort @ Telephone



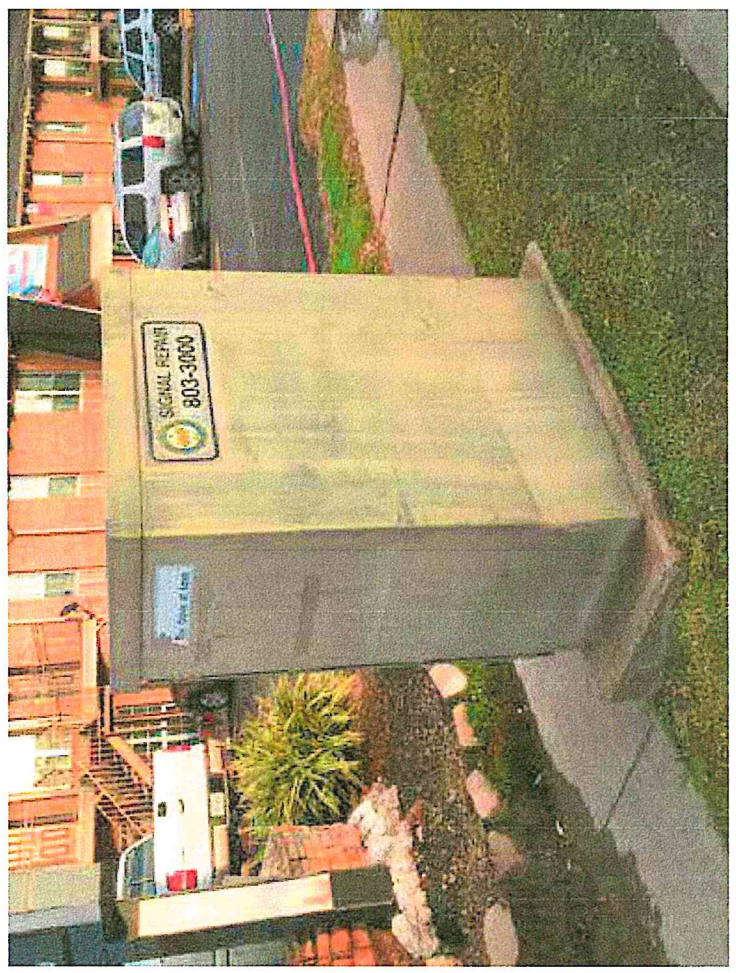
Notes:

- Northwest Corner
- High Traffic Area

5 Bellfort @ Glenloch

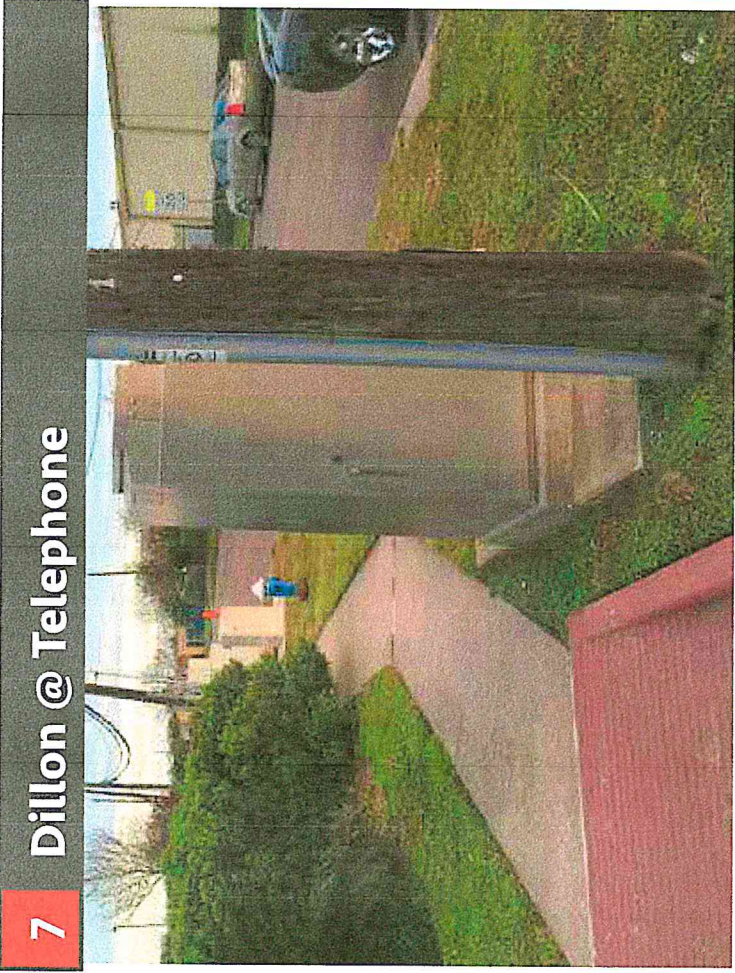


- Notes:**
- Southwest Corner

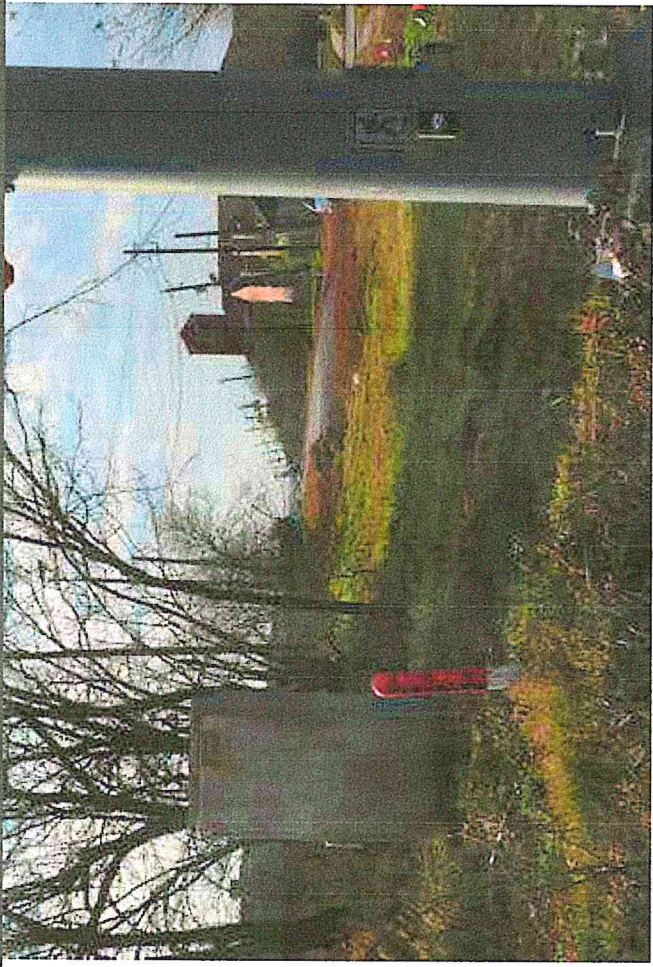


**Notes:**

- Northeast Corner
- High Traffic Area



- Notes:**
- West-Northern Corner
  - High Traffic Area



**Notes:**

- Southeast Corner



9

## Airport Blvd @ Ruthby



### Notes:

- Northwest Corner
- High Traffic Area

**10 Airport Blvd @ Telephone**



**Notes:**

- Southwest Corner
- High traffic Area

**11** Brisbane @ Telephone



**Notes:**

- Southwest Corner
- High traffic Area

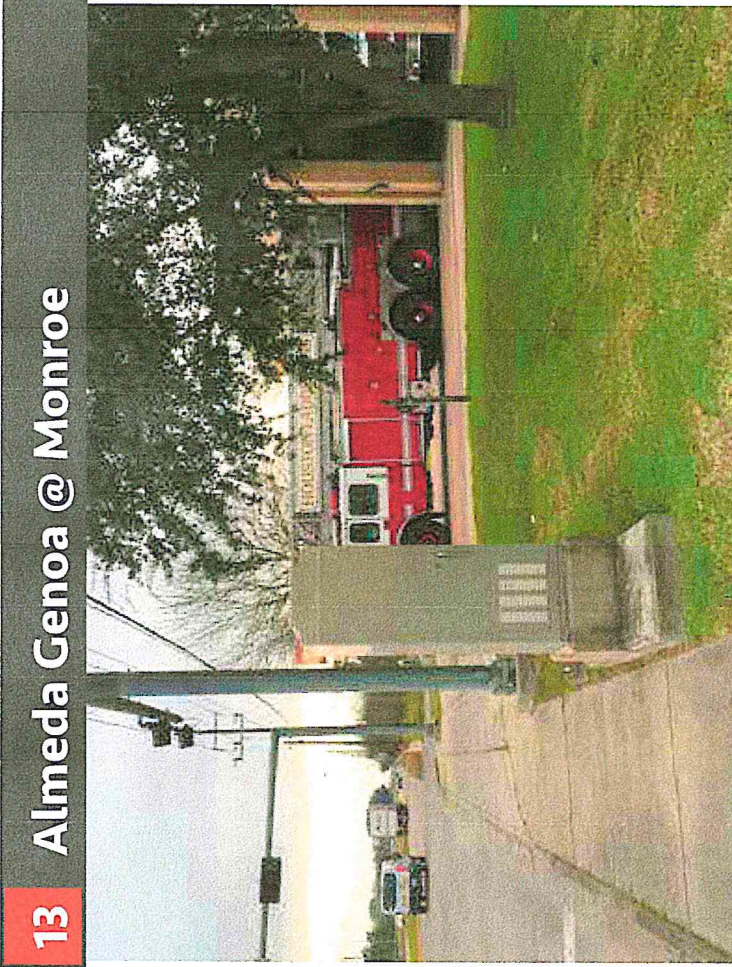


12 Almeda Genoa @ 9700 Telephone



**Notes:**

- Northeast Corner



**Notes:**

- Firehouse #61
- This would be the second in a series of firehouses

14

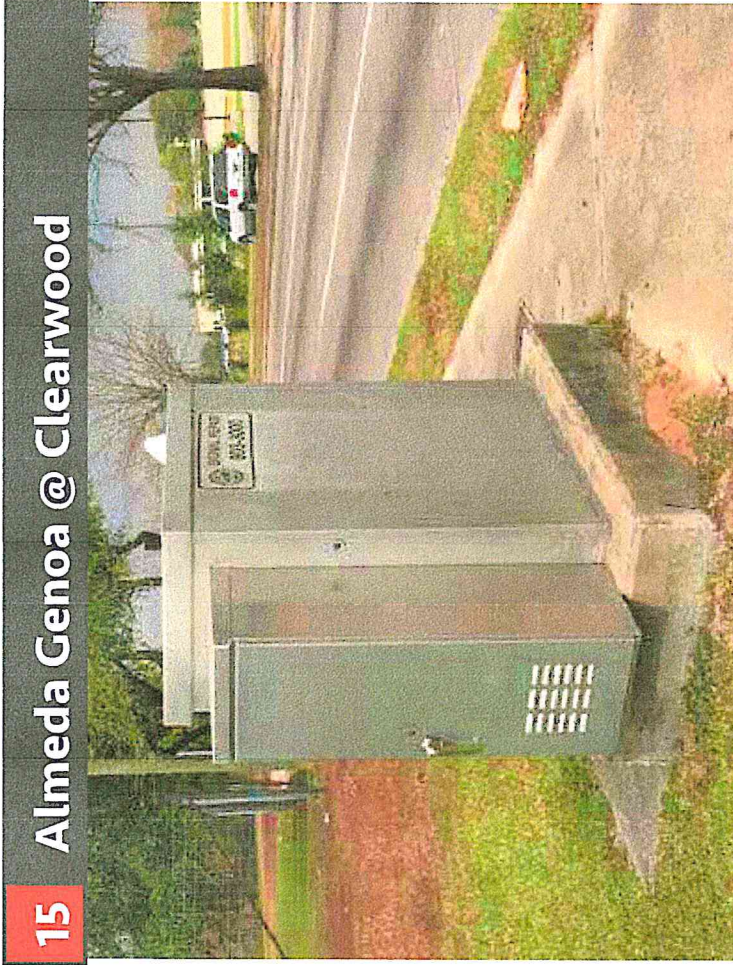
Almeda Genoa @ Monroe



**Notes:**

- Southwest corner

15 Almeda Genoa @ Clearwood



Notes:

- Southwest Corner
- High traffic Area



16

Almeda Genoa @ Easthaven



**Notes:**

- Southeast Corner

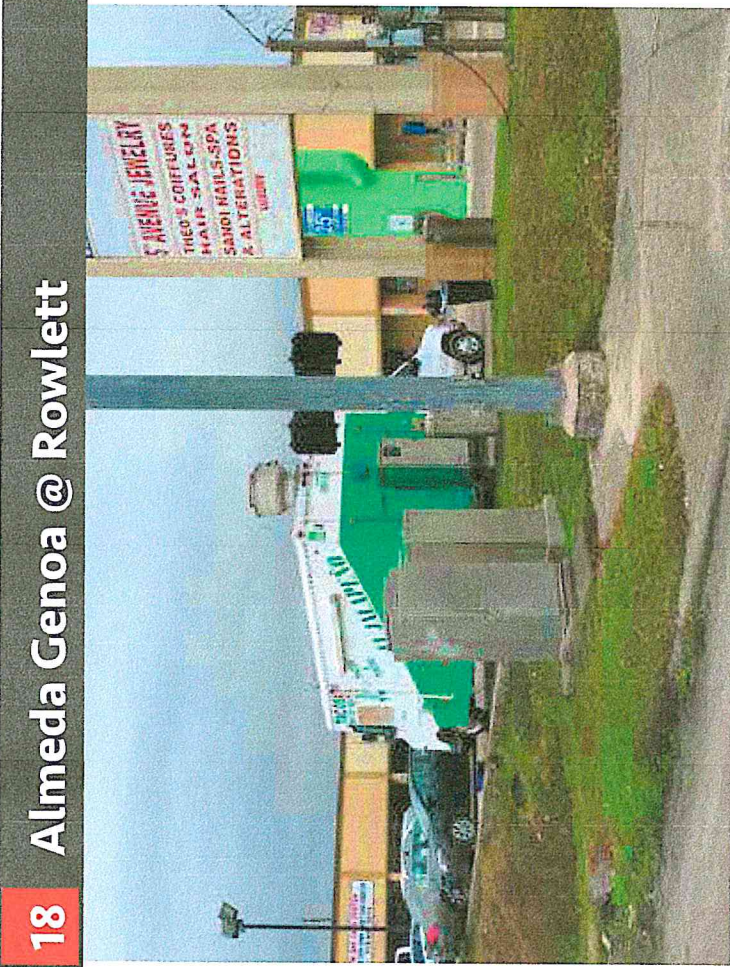


17 Alameda Genoa @ Minnesota



**Notes:**

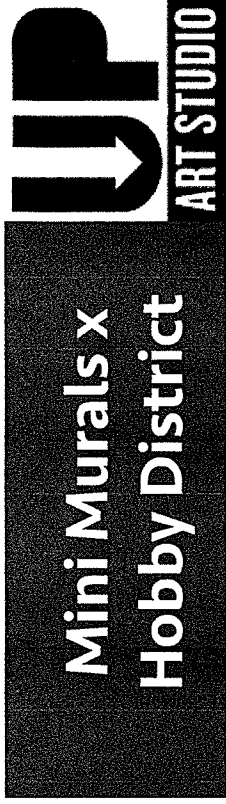
- Southwest Corner
- High traffic Area



**Notes:**

- Southwest Corner





**Mini Murals x  
Hobby District**

HOBBY AREA MANAGEMENT DISTRICT  
(HARRIS COUNTY IMPROVEMENT DISTRICT NUMBER 9)  
CITY OF HOUSTON  
HARRIS COUNTY, TEXAS

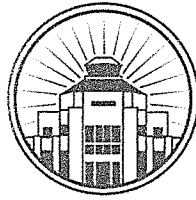
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AGENDA MEMORANDUM

TO: Hobby Area Management District Board of Directors  
FROM: Executive Director  
SUBJECT: Agenda Item Materials

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10. Receive an update from the Business and Economic Development Committee.



**Hobby Area District  
AGENDA MEMORANDUM**

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TO: Hobby Area District Board of Directors  
FROM: District Executive Director  
DATE: February 18, 2016  
ITEM: Receive Report from the Business and Economic Development Committee

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**STAFF NOTE:** The minutes of the committee meeting of January 20 are attached for review.

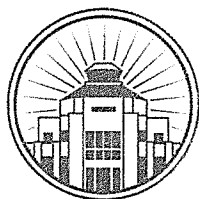
There were no action items to be considered from the January 20 committee meeting. This is a report only. The committee received updates on planning for HobbyFest 2016, the February 18 State of the District Event, the Business Ambassador Program and discussed the proposed programs and projects implementation in 2016.

Staff stated that the finishing touches are in the works for the SOTD event on February 18 including District services video, redesign and updates to the District economic development brochure, and event promotional materials and program. As of this report we have 130 attendees signed up for the event.

Work on HobbyFest tasks continued during the reporting period. Staff and the creative team worked on development of an online payment application for the BBQ Cook-off and also for sponsorships. Staff stated that regular meetings of the committee are slated to begin Wednesday; February 17 and continue every two weeks up to the week of the event at which time the committee would meet as needed.

Staff reported that the BA had completed 38 visits of which 25 were considered complete in that the BA had made contact with the owner or manager.

Staff presented the committee with the list of the projects which were included in the 2016 budget and project work plan that were not implemented in 2015. Staff noted that they would be bring each program and project as they were developed to the committee for consideration and approval before moving forward. The project list included: Real Estate forum, real estate development tours, business round table/leadership, and business over breakfast and business mixers programs.



## MEMORANDUM

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TO: Hobby Area District Board of Directors  
FROM: District Executive Director  
DATE: February 18, 2016  
SUBJECT: Business and Economic Development Committee Meeting Minutes

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The Hobby Area District Business and Economic Development Committee met on Wednesday, January 20, 2016 at the Hobby Airport Doubletree Hotel, 8181 Airport Blvd. Houston, TX 77061.

District Board and Committee Members present were: Danny Perkins, Ann Collum, JoAnn Lemon and Joe Nelson.

Staff present were: Gretchen Larson, Jerry Lowry, and Eoles Whitaker.

The Committee was called to order at 11:00 a.m.

### **THE COMMITTEE CONSIDERED THE FOLLOWING ITEM(S):**

1. Receive updates on planning for HobbyFest 2016.
2. Receive updates for February 18 State of the District Event.
3. Receive updates on Business Ambassador Program.
4. Discuss of proposed programs and projects implementation in 2016.

### **Updates for HobbyFest 2016.**

Staff stated that on HobbyFest tasks continued during the reporting period. Staff and the creative team were working on development of an online payment application for the BBQ Cook-off and also for sponsorships. Staff stated that regular meetings of the committee are slated to begin Wednesday, February 17 and continue every two weeks up to the week of the event at which time the committee would meet as needed. Staff also noted that they, the Executive Director and Chairman Perkins would be meeting with the interim airport GM, Mr. Saenz to discuss support of this years HobbyFest.

### **Updates on February 18 State of the District Event.**

Staff stated that the finishing touches are in the works for the SOTD event on February 18 including District services video, redesign and updates to the District economic development brochure, and event promotional materials and program. Staff reminded the committee to turn

in names of their guests and that the speaker for the event had been confirmed and would be Mr. Bob Harvey, CEO of the Greater Houston Partnership.

**Receive updates on Business Ambassador Program.**

Staff reported that the BA had visited 38 businesses for the month with 25 visits considered completed. The BA assisted the team with resolution or reporting of issues for several businesses experiencing challenges with illegal dumping, trespassing and vagrants around their properties.

**Updates on 2016 Communications Plan and Business, Economic Development, and Marketing Budget.**

Staff presented the committee with the list of the projects which were included in the 2016 budget and project work plan that were not implemented in 2015. Staff noted that they would be bring each program and project as they were developed to the committee for consideration and approval before moving forward. The project list included: Real Estate forum, real estate development tours, business round table/leadership, and business over breakfast and business mixers programs.

**Adjourn.**

There being no further business for the committee the meeting was adjourned at 11:45 a.m.

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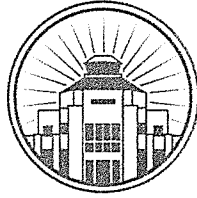
**AGENDA MEMORANDUM**

TO: Hobby Area Management District Board of Directors  
FROM: Executive Director  
SUBJECT: Agenda Item Materials

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11. Receive an update from the Public Safety Committee.





MEMORANDUM

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TO: Hobby Area Management District Board of Directors  
FROM: Jerry Lowry  
DATE: February 18, 2016  
SUBJECT: Public Safety Committee

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The Hobby Area Management District Public Safety Committee held a meeting on January 20, 2016 at 12:00pm at the Doubletree Hilton Hobby – 8181 Airport Blvd, 77061.

District Board and Committee members present: Danny Perkins, Marjorie Evans, Jo Ann Lemon, Ann Collum, and Joe Ed Nelson.

Staff Member Present: Eoles B. Whitaker, Mario Ramirez, and Jerry Lowry.

Public Safety Officer Present: HPD Lt. Marco Nava, HPD Sgt. Charles Landrum, HPD Officer Justin Kennedy, HPD Officer Adam Ho, S.E.A.L. Officer Sgt. O'Brien McFadden, S.E.A.L. Rhedonda Cox.

Public Present: Connie Nelson, Julie Levine, Carol Fullerton, and Holli Lubelski.

**THE COMMITTEE CONSIDERED THE FOLLOWING:**

1. Receive report from S.E.A.L. Security on Public Safety initiatives in the District.
  - a. Thefts at Manheim have dropped to 3 auto thefts over 4-month period, since the major incidents of 12-15 stolen cars per night.
  - b. HPD South East division actively working with Homeland Security to expand resources to locate all vehicles.
    - i. Homeland Security vehicles were taken as well.
    - ii. Manheim has hired S.E.A.L. Security to secure property, to bolster security presence.
  - c. Vista Verde/Alta Verde property approved for 30-day mobile security camera extension by the committee.
  - d. S.E.A.L. Security Officer McFadden received help from Houston Fire Department trainees with vagrant relocation from commercial property.
2. Receive update on County Attorney program.
  - a. The nuisance lawsuit against Houston 420 (8336 Gulf Freeway)
  - b. The City of Houston has filed a nuisance lawsuit against BJ's Adult Video (6314 Gulf Freeway)

- c. The District County Attorney is currently working with the HPD DRT unit to address several nuisance complaints in the District.
3. Receive update on RCR report.
  - a. The committee received the latest report on the RCR program in the District.
4. Discuss Public Safety Initiatives
  - a. HPD South East division has added Sgt. Charles Landrum as the new lead of the DRT force.
  - b. The South East division has added 3 additional officers to the DRT force.

**COMMITTEE RECOMMENDATIONS:**

None.

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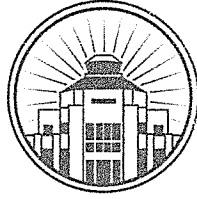
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**AGENDA MEMORANDUM**

TO: Hobby Area Management District Board of Directors  
FROM: Executive Director  
SUBJECT: Agenda Item Materials

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12. Receive the Executive Director's report.



**HOBBY AREA MANAGEMENT DISTRICT**

# SERVICE PLAN

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MONTHLY REPORT

JANUARY 14 – FEBRUARY 18, 2016

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COMMITTEE ACTIVITY

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STAFF ACTIVITY

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## COMMITTEE ACTIVITY

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### **Business and Economic Development Committee**

The Business and Economic Development Committee met on January 20<sup>th</sup>.

### **Environmental, Urban Design and Mobility Committee**

The Environmental and Urban Design Committee met on January 20<sup>th</sup>.

### **Public Safety Committee**

The Public Safety Committee met on January 20<sup>th</sup>.

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## STAFF ACTIVITY JANUARY 14 – FEBRUARY 18, 2016

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- Conducted monthly board meeting, and the public safety, environmental and urban design, and business and economic development committee meetings.
- Staff made regular updates to the District website and distributed e-news and e-blasts for area partner events.
- The finishing touches are in the works for the SOTD event on February 18 including District services video, redesign and updates to the District economic development brochure, event marketing materials, program and website updates.
- Work on HobbyFest tasks continued including development of an online payment application for the BBQ Cook-off and sponsorships. Regular meetings of the committee are slated to begin Wednesday the 17<sup>th</sup> and continue every two weeks up to the week of the event on April 15-16.
- The Livable Centers Existing Conditions Assessment is now complete for review by the Livable Centers Committee and the consultant team.
- Staff of HAMD, City of Houston and H-GAC provided final comments on the Scope of Services for the consultant team. A signed contract should shortly follow.
- Staff have been actively coordinating with Scenic Houston and Clark Condon Associates in preparation for bid requests and to establish a means to begin work at the earliest possible opportunity once a contractor is selected and given notice to proceed.
- Staff attended monthly City of Houston Public Works & Engineering Broadway Construction meeting to receive briefing on progress to completion.

- Staff gave presentation on function and services of the District to the Hobby Airport Lion Club at the request of HPD Airport Division and the Hobby Airport Lions Club president.
  
- Staff affirmed locations for illegal dumping signage in the District with Councilmember Gallegos' office to be implemented by the city.
  
- The Executive Director and staff met with the HPD Southeast Division and the District's County Attorney to discuss active and future public safety initiatives.
  
- The District's legal counsel received the IRS Determination Letter of approval, the Hobby Foundation 501(c)3 non-profit is official.

**End of Report**



# HOBBY AREA DISTRICT

## ILLEGAL DUMPING AT 8164 HANSEN



Before



Before



Before



After



After

The crew went to clean up the area requested yesterday. Attached are pictures that they took. They did a before and after so that you can see the area clean.

Thank you,

**Sonia J. Chavez**  
SMC Logistics  
Cell: 832-633-5155  
METRO SBE Certified  
City of Houston MBE & WBE Certified  
[www.smclogisticsworks.com](http://www.smclogisticsworks.com)





# HOBBY AREA DISTRICT

## POTHOLES REPORTED TO 311 ONLINE FOR ABATEMENT - 1

**8600 Panair St & 8000 Monroe**









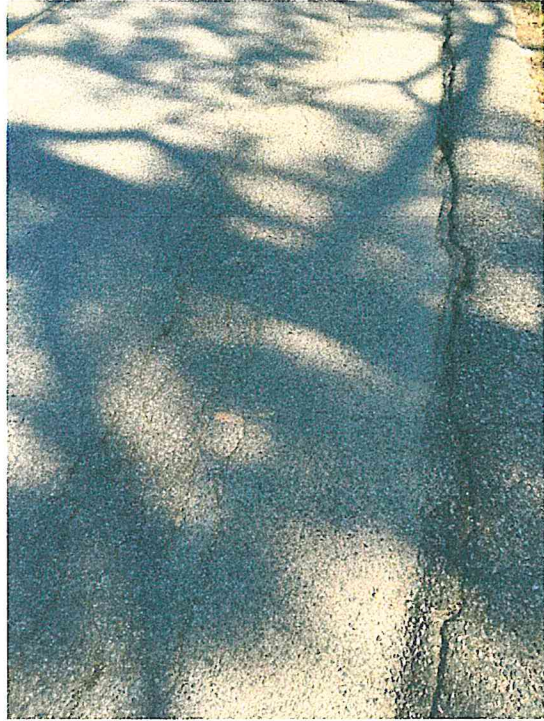


# HOBBY AREA DISTRICT

## POTHOLES REPORTED TO 311 ONLINE FOR ABATEMENT - 2

### Braniff & Wingtip Drive







## HOBBY AREA DISTRICT

### POTHoles REPORTED TO 311 ONLINE FOR ABATEMENT - 3

**Braniff off (behind) Airport, Northeast corner of Braniff & Telephone**





Eoles B. Whitaker II  
Director of Services  
Hobby Area Management District

[713.595.1200](tel:713.595.1200) - Office  
[832.338.6450](tel:832.338.6450) – Cell

Begin forwarded message:

**From:** ARA - 311 Email Response Team <[ARA-311EmailResponseTeam@houstontx.gov](mailto:ARA-311EmailResponseTeam@houstontx.gov)>  
**Date:** February 7, 2016 at 5:55:54 AM CST  
**To:** Eoles Whitaker <[ewhitaker@hcllp.com](mailto:ewhitaker@hcllp.com)>  
**Subject: RE: Pothole**

Thank you for contacting us. Service Request number 101002060157 has been forwarded to the appropriate department for investigation.

For further information regarding this request, please dial 311 (note: some areas of Houston must dial the full 10-digit number 713-837-0311), or e-mail us at [311@houstontx.gov](mailto:311@houstontx.gov), refer to the service request number above, and one of our Customer Service Representatives will be happy to assist you.

Thank you for contacting 311 Houston Service Helpline.

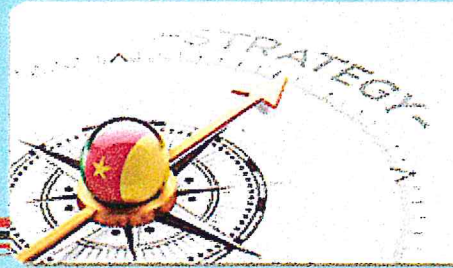


Stay up-to-date with the latest news from the Hobby Area Management District.

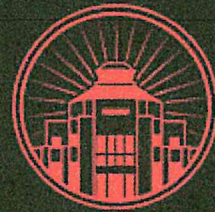
Is this email not displaying correctly?  
[View it in your browser.](#)



HOBBY AREA  
DISTRICT



**You are invited.**



HOBBY AREA DISTRICT

SECOND ANNUAL  
**STATE**  
OF THE  
**DISTRICT**

FEBRUARY 18, 2016  
11:30 – 1:00  
HOBBY DOUBLE TREE  
8181 AIRPORT BLVD.  
HOUSTON 77061

Hear about District accomplishments and the importance of economic development partners, such as the GHP, and its role in the economic vitality of the region.

*Presented by*  
**BOB HARVEY**  
PRESIDENT & CEO  
GREATER HOUSTON PARTNERSHIP

**RSVP BY FEBRUARY 12**  
click here for complimentary tickets or visit:  
[HADistrict.org/StateOfTheDistrict](http://HADistrict.org/StateOfTheDistrict)

*Get Your Seats Today!*

*See what we're doing at:*

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For more information contact: [GLarson@HADistrict.org](mailto:GLarson@HADistrict.org)